

CONFERENCE IN MEMORY OF AURELIO CARBONI  
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PROJECTIVE AND AFFINE ASPECTS IN CATEGORIES  
AND HOMOLOGICAL ALGEBRA  
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- **0. Introduction**

- **[C, CG] give characterisations of:**

- **‘categories of affine spaces’**

(defined as slice categories of additive categories with kernels),

- **‘categories of projective spaces’**

(defined as abelian categories modulo a canonical congruence).

**[C]** A. Carboni, Categories of affine spaces, JPAA 61 (1989), 243-250.

**[CG]** A. Carboni and M. Grandis, Categories of projective spaces, JPAA 110 (1996), 241-258.

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- **This will be the starting point for a tentative discussion of projective and affine aspects in categories and homological algebra.**

- **1. Categories of affine spaces [C] 1989**

- **S. Schanuel:** equivalence (for a field  $k$ )

$$k\mathbf{Vct}/k \rightarrow k\mathbf{Aff}, \quad (\varphi: V \rightarrow k) \mapsto \varphi^{-1}\{1_k\}.$$

- **[C]: characterises the slice categories  $\mathbf{E} = \mathbf{A}/X$**

for  $\mathbf{A}$  an additive category with kernels

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- **modular category:**

a category with finite limits and finite sums, satisfying two axioms related to modular lattices and distributive categories

- **Theorem:**

— if  $\mathbf{A}$  is an additive category with kernels, all slices  $\mathbf{A}/X$  are modular,

— if  $\mathbf{E}$  is modular, then its category of points  $\mathbf{A} = \mathbf{Pt}(\mathbf{E}) = \mathbf{E} \setminus T$  is additive with kernels and  $\mathbf{E} \simeq \mathbf{A}/U$

$$(U = i_2: T \rightarrow T + T; \quad T = \text{terminal}).$$

## • 1A. Modular categories [C]

### – Definition:

a category  $\mathbf{E}$  with finite limits and finite sums, satisfying:

(i) for each slice category  $\mathbf{E}/U$ , for each arrow  $f: X \rightarrow Z$  of  $\mathbf{E}/U$  and each object  $Y$  of  $\mathbf{E}/U$ , the following canonical morphism is invertible

$$m: X + (Y \times Z) \rightarrow (X + Y) \times Z, \quad mi_1 = \langle i_1, f \rangle, \quad mi_2 = i_2 \times Z.$$

(all injections of sums are denoted as  $i_1, i_2$ )

(ii) for each arrow  $f: X \rightarrow U$  of  $\mathbf{E}$  the following commutative square is a pullback

$$\begin{array}{ccc} X & \xrightarrow{f} & U \\ i_1 \downarrow & & \downarrow i_1 \\ X + U & \xrightarrow{f+U} & U + U \end{array}$$

(Modular lattices do not satisfy property (ii))

- **2. Categories of projective spaces [CG] 1996**

- Aurelio was in Genoa, in 1993-96. We gave in [CG]:

**a characterisation of ‘categories of projective spaces’.**

- [G1] (1984):  $\mathbf{E} \mapsto \text{Pr}(\mathbf{E}) = \mathbf{E}/R$  (‘projective category’ associated to  $\mathbf{E}$  Puppe-exact)

- $fRg$ :  $f, g$  have the same direct (or inverse) images of subobjects

(If  $\mathbf{E} = k\mathbf{Vct}$ ,  $fRg \Leftrightarrow \exists \lambda \in k^*: f = \lambda g$ .      $\text{Pr}(\mathbf{E}) \cong$  category of  $k$ -projective spaces)

- $\text{Pr}(\mathbf{E})$  is a Puppe-exact category [Pu, Mt, FS], also for  $\mathbf{E}$  abelian

- $\text{Pr}(\mathbf{E})$  is ‘projective’ (its congruence  $R$  is trivial).

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- **[CG] Theorem**

- $\mathbf{A}$  abelian:  $\text{Pr}(\mathbf{A})$  is projective Puppe-exact with *projective biproducts*

- $\mathbf{A}$  is determined by  $\text{Pr}(\mathbf{A})$

‘Projective biproducts: the ‘trace’ left on  $\mathbf{P}$  by the biproducts of  $\mathbf{A}$ :  
a zero-preserving functor  $\oplus: \text{Matr}(\text{Ob}\mathbf{P}) \rightarrow \mathbf{P}$  satisfying a list of properties [CG]  
 $\text{Matr}(\text{Ob}\mathbf{P})$ : the free additive category on  $\text{Ob}\mathbf{P}$ .

- 3. Projective and affine aspects in categories and homological algebra

- All this leads us to distinguish, in category theory:

- projective aspects

about lattices of subobjects and quotients, linked by kernels and cokernels

- natural framework: [Puppe-exact categories](#) and variations

- affine aspects

about finite limits and finite sums

- natural framework: [regular categories](#) and variations

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- [Abelian categories](#) are a common ground for both aspects.

- 4. Basic projective aspects [G1, G3]

- Calculus of subobjects in  $\mathbf{E}$  (Puppe-exact category)

$\text{Sub}_{\mathbf{E}}: \mathbf{E} \rightarrow \mathbf{Mlc}$     *transfer functor* for subobjects

$A \mapsto \text{Sub}(A), \quad (f: A \rightarrow B) \mapsto (f_*: \text{Sub}A \rightleftarrows \text{Sub}B : f^*)$

$f^*f_*(x) = x \vee f^*0 \geq x, \quad f_*f^*(y) = y \wedge f_*1 \leq y$     (modular conditions).

- $\mathbf{Mlc}$ : the (projective) Puppe-exact category of modular lattices and modular connections

- maps:  $u = (u_{\bullet}, u^{\bullet}): X \rightarrow Y$  ( $u_{\bullet} \dashv u^{\bullet}$ ) satisfying the modular conditions

- $\mathbf{Mlc}$  has NO products and NO exact embedding into an abelian category

- The functor  $\text{Sub}_{\mathbf{E}}$  is exact (i.e. preserves exact sequences)

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- $\mathbf{E}$  is a *projective Puppe-exact category* when  $\text{Sub}_{\mathbf{E}}$  is faithful

- ‘making it faithful’ gives the projective Puppe-exact category  $\text{Pr}(\mathbf{E}) = \mathbf{E}/R$

$$(fRg \Leftrightarrow f_* = g_* \Leftrightarrow f^* = g^*)$$

- 4A. Hints at other projective aspects [G3]

- Relationship with double categories

- $F: E \rightarrow E'$  (exact functor of Puppe-exact categories) gives

$$\begin{array}{ll} \text{Sub}_F: \text{Sub}_E \rightarrow \text{Sub}_{E'} & F: E \rightarrow \text{Mlhc} \quad \text{hor. transformation of vert. functors} \\ \text{Sub}_F A: \text{Sub}_E(A) \rightarrow \text{Sub}_{E'}(FA), & x \mapsto F(x) \end{array}$$

- **Mlhc**: the **double category** of modular lattices, homomorphisms and modular connections.

- Duality between subobjects and quotients

- A ‘Coherence Theorem’ for homological algebra

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- Universal models for homological systems spectral sequences

(e.g. filtered complexes, double complexes, Eilenberg’s exact systems, Massey’s exact couples).



• 5. Projective aspects in strongly non-abelian settings [G2, G4]

- A much wider extension of this ‘projective approach’:  
 semiexact and homological categories (1990 Como Conference)  
 including the ‘categories of pairs’, like  $\text{Top}_2$  and  $\text{Gp}_2$
- Previous work on ‘categories of pairs’: R. Lavendhomme [La], 1965  
 (kernels and cokernels with respect to an assigned ideal of ‘null morphisms’)

- Original motivation:  
 to include the exact/spectral sequences of (unstable) homotopy theory

- Calculus of normal subobjects in  $\mathbf{E}$  (semiexact/homological category)

$$\begin{aligned} \text{Nsb}_{\mathbf{E}}: \mathbf{E} &\rightarrow \text{Ltc} && \text{transfer functor for normal subobjects} \\ A &\mapsto \text{Nsb}(A), && (f: A \rightarrow B) \mapsto (f_*: \text{Nsb}A \rightleftarrows \text{Nsb}B : f^*) \end{aligned}$$

- Ltc: the (projective) pointed homological category of lattices and Galois connections

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- Recent applications to radical theory and closure operators:  
 MG, G. Janelidze and L. Márki [GJM], to appear (JAMS).

- 6. Affine aspects

- Natural frameworks: regular and Barr-exact categories and variations:

- **protomodular categories**: Bourn [Bou], 1990 Como Conference (following Aurelio's modular categories [C])

- **semiabelian categories**: Janelidze, Márki and Tholen [JMT] 2002, [Bo]

- **Borceux-Bourn homological categories**

Borceux and Bourn [BoB] 2004

(= pointed regular protomodular)

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- Here I would just point out some bridges with the projective approach

- $\mathbf{C}$  regular cat. with finite sums  $\mapsto$   $\mathbf{C}_2$  homological cat. 'of pairs'

- E.g.  $\mathbf{Gp}_2$ . But similar facts hold much more generally: for instance  $\mathbf{Top}_2$

- Recent results by Zurab Janelidze [Jz]

on 'projective aspects' of regular protomodular and semiabelian categories.

- 6A. A bridge from affine to projective aspects: [Jz] (APCS, to appear)

• Semiabelian and regular protomodular categories are characterised among regular categories  $\mathbf{C}$ , via:

- $\varphi: \mathbf{C} \rightarrow \mathbf{Gls}$ : the ‘form of subobjects’ of  $\mathbf{C}$  (or transfer functor)  
 $\mathbf{Gls}$ : the category of (possibly large) ordered sets and Galois connections.

• **Theorem 1.** A regular category  $\mathbf{C}$  is **protomodular** if and only if: the image of the right adjoint  $f^*: \text{Sub}(Y) \rightarrow \text{Sub}(X)$  is up-closed ( $\forall f: X \rightarrow Y$  in  $\mathbf{C}$ ).

- **Corollary 1.** A regular category is **protomodular** if and only if its form  $\varphi$  is cartesian.

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• **Theorem 2.** A regular category is **semiabelian** if and only if: it is pointed, has binary sums and its form  $\varphi$  is right cartesian and right stable.

- **Corollary 2.** A regular category is **semiabelian** if and only if: it is pointed, has binary sums and a stable cartesian form of subobjects.

- $\varphi$  is *right (left) cartesian*:

the **unit (counit)** of the adjunction  $\varphi(f): \text{Sub}(X) \rightarrow \text{Sub}(Y)$  is **cocartesian (cartesian)** ( $\forall f$  in  $\mathbf{C}$ )

$$\text{these conditions amount to the ‘modular conditions’:$$

$$f^* f_*(x) = x \vee f^* 0, \quad f_* f^*(y) = y \wedge f_* 1 \quad (x \in X, y \in Y).$$

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