# Defining equations of algebraic sets

Matteo Varbaro (University of Genoa, Italy)

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Given a set  $\mathcal{A} \subseteq S$  of homogeneous polynomials, its zero-locus is:

$$\mathcal{Z}(\mathcal{A}) = \{ P \in \mathbb{P}^n(K) : f(P) = 0 \ \forall \ f \in \mathcal{A} \} \subseteq \mathbb{P}^n(K).$$

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Since  $\mathcal{Z}(\mathcal{A}) = \mathcal{Z}((\mathcal{A}))$  (where  $(\mathcal{A})$  means the ideal of S generated by  $\mathcal{A}$ ) by the **Hilbert's basis theorem** every algebraic set is the zero-locus of finitely many (homogeneous) polynomials.

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#### How many polynomials are needed to define an algebraic set?

# Algebraic sets

Given a subset  $X \subseteq \mathbb{P}^n$ , the set of polynomials vanishing at X is:

$$\mathcal{I}(X) = \{ f \in S : f(P) = 0 \ \forall \ P \in X \}.$$

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Further,  $\mathcal{Z}(\mathcal{I}(X)) = X$  whenever  $X \subseteq \mathbb{P}^n$  is an algebraic set.

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At a first thought, one could imagine that the optimal number of polynomials defining an algebraic set  $X = \mathcal{Z}(I) \subseteq \mathbb{P}^n$  is exactly the number of minimal generators of  $\mathcal{I}(X) \subseteq S$ .

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This, however, is far to be true .....

# $X_1 = \{[s^3, s^2t, st^2, t^3] : [s, t] \in \mathbb{P}^1\} \subseteq \mathbb{P}^3$ is an algebraic set and

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 $\begin{aligned} X_1 &= \{ [s^3, s^2t, st^2, t^3] : [s, t] \in \mathbb{P}^1 \} \subseteq \mathbb{P}^3 \text{ is an algebraic set and} \\ \mathcal{I}(X_1) &= (a, b, c) \subseteq S = \mathcal{K}[x_0, x_1, x_2, x_3], \end{aligned}$ where  $a &= x_0 x_2 - x_1^2, \ b &= x_1 x_3 - x_2^2, \ c &= x_0 x_3 - x_1 x_2. \end{aligned}$ 

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 $X_{1} = \{[s^{3}, s^{2}t, st^{2}, t^{3}] : [s, t] \in \mathbb{P}^{1}\} \subseteq \mathbb{P}^{3} \text{ is an algebraic set and}$  $\mathcal{I}(X_{1}) = (a, b, c) \subseteq S = \mathcal{K}[x_{0}, x_{1}, x_{2}, x_{3}],$ where  $a = x_{0}x_{2} - x_{1}^{2}$ ,  $b = x_{1}x_{3} - x_{2}^{2}$ ,  $c = x_{0}x_{3} - x_{1}x_{2}$ . By setting:  $f = a = x_{0}x_{2} - x_{1}^{2}$  and  $g = x_{3}c - x_{2}b = x_{0}x_{2}^{2} - 2x_{1}x_{2}x_{3} + x_{3}^{3}$ .

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 $X_{1} = \{[s^{3}, s^{2}t, st^{2}, t^{3}] : [s, t] \in \mathbb{P}^{1}\} \subseteq \mathbb{P}^{3} \text{ is an algebraic set and}$  $\mathcal{I}(X_{1}) = (a, b, c) \subseteq S = \mathcal{K}[x_{0}, x_{1}, x_{2}, x_{3}],$ where  $a = x_{0}x_{2} - x_{1}^{2}$ ,  $b = x_{1}x_{3} - x_{2}^{2}$ ,  $c = x_{0}x_{3} - x_{1}x_{2}$ . By setting:  $f = a = x_{0}x_{2} - x_{1}^{2}$  and  $g = x_{3}c - x_{2}b = x_{0}x_{3}^{2} - 2x_{1}x_{2}x_{3} + x_{2}^{3},$ we claim that  $X_{1} = \mathcal{Z}(a, b, c) = \mathcal{Z}(f, g).$ 

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where  $a = x_0x_2 - x_1^2$ ,  $b = x_1x_3 - x_2^2$ ,  $c = x_0x_3 - x_1x_2$ . By setting:

$$f = a = x_0x_2 - x_1^2$$
 and  $g = x_3c - x_2b = x_0x_3^2 - 2x_1x_2x_3 + x_2^3$ ,

we claim that  $X_1 = \mathcal{Z}(a, b, c) = \mathcal{Z}(f, g)$ . Obviously  $\mathcal{Z}(a, b, c)$  is contained in  $\mathcal{Z}(f, g)$ , for the other inclusion we have to prove that any point  $P \in \mathcal{Z}(f, g)$  satisfies b(P) = c(P) = 0.

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Recall that  $a = x_0x_2 - x_1^2$ ,  $b = x_1x_3 - x_2^2$ ,  $c = x_0x_3 - x_1x_2$  and

$$f = x_0 x_2 - x_1^2, \ g = x_0 x_3^2 - 2x_1 x_2 x_3 + x_2^3.$$

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Let  $P = [P_0, P_1, P_2, P_3] \in \mathcal{Z}(f, g)$ .

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Let 
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•  $P_2 = 0 \xrightarrow{f(P)=0} P_1 = 0$ 

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Let  $P = [P_0, P_1, P_2, P_3] \in \mathcal{Z}(f, g).$ •  $P_2 = 0 \xrightarrow{f(P)=0} P_1 = 0 \xrightarrow{g(P)=0} P_0 P_3^2 = P_0 P_3 = 0$ . So both *b* and *c* vanish at *P*. •  $P_2 = 1 \xrightarrow{f(P)=0} P_0 = P_1^2$ 

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Let  $P = [P_0, P_1, P_2, P_3] \in \mathcal{Z}(f, g)$ . •  $P_2 = 0 \xrightarrow{f(P)=0} P_1 = 0 \xrightarrow{g(P)=0} P_0 P_3^2 = P_0 P_3 = 0$ . So both *b* and *c* vanish at *P*. •  $P_2 = 1 \xrightarrow{f(P)=0} P_0 = P_1^2 \xrightarrow{g(P)=0} (P_1 P_3)^2 - 2P_1 P_3 + 1 = 0$ . So  $P_1 P_3 = 1$  and b(P) = 0. Further

$$c(P) = P_0P_3 - P_1P_2 = P_1^2P_3 - P_1 = P_1 - P_1 = 0.$$

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The **arithmetical rank** of an algebraic set  $X \subseteq \mathbb{P}^n$  is:

$$\operatorname{ara}_{\mathbb{P}^n} X = \min\{r \mid \exists f_1, \ldots, f_r \in S : X = \mathcal{Z}(f_1, \ldots, f_r)\}.$$

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By the **Krull's Hauptidealsatz**  $\operatorname{ara}_{\mathbb{P}^n} X \ge \operatorname{codim}_{\mathbb{P}^n} X$ .

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We can therefore conclude that, in Example I:

$$\operatorname{ara}_{\mathbb{P}^3} X_1 = 2 = \operatorname{codim}_{\mathbb{P}^3} X_1.$$

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#### Set-theoretic complete intersections

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#### Set-theoretic complete intersections

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#### (Faltings, 1980)

If  $Y \subseteq \mathbb{P}^n$  is an irreducible *d*-dimensional algebraic set and  $X \subseteq \mathbb{P}^n$  is the zero-locus of < d polynomials, then  $X \cap Y$  must be connected.

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It therefore makes sense to name  $X \subseteq \mathbb{P}^n$  a **set-theoretic** complete intersection if  $\operatorname{ara}_{\mathbb{P}^n} X = \operatorname{codim}_{\mathbb{P}^n} X$ .

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#### Let $X_2 = \{[s, t, 0, 0] : [s, t] \in \mathbb{P}^1\} \cup \{[0, 0, s, t] : [s, t] \in \mathbb{P}^1\} \subseteq \mathbb{P}^3.$

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 $\operatorname{ara}_{\mathbb{P}^3} X_2 \geq 3 > 2 = \operatorname{codim}_{\mathbb{P}^3} X_2.$ 

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$$\operatorname{ara}_{\mathbb{P}^3} X_2 \geq 3 > 2 = \operatorname{codim}_{\mathbb{P}^3} X_2.$$

On the other hand, if  $S = K[x_0, x_1, x_2, x_3]$ , we have

$$\mathcal{I}(X_2) = (x_2, x_3) \cap (x_0, x_1) = (x_0 x_2, x_0 x_3, x_1 x_2, x_1 x_3) \subseteq S.$$

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If  $I = (a, b, c) \subseteq S$  with  $a = x_0x_2 + x_0x_3 + x_1x_2 + x_1x_3$ ,  $b = x_0x_1x_2 + x_0x_1x_3 + x_0x_2x_3 + x_1x_2x_3$  and  $c = x_0x_1x_2x_3$ , then  $\sqrt{I} = \mathcal{I}(X_2)$ .

$$\operatorname{ara}_{\mathbb{P}^3} X_2 \geq 3 > 2 = \operatorname{codim}_{\mathbb{P}^3} X_2.$$

On the other hand, if  $S = K[x_0, x_1, x_2, x_3]$ , we have

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So 
$$X_2 = \mathcal{Z}(I)$$
, and ara<sub>P<sup>3</sup></sub>  $X_2 = 3$ .

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## A general upper bound

What happened before is not a case:

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Eisenbud-Evans, 1972

For any nonempty algebraic set  $X \subseteq \mathbb{P}^n$ :

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Summarizing, so far we learnt that:

•  $\operatorname{codim}_{\mathbb{P}^n} X \leq \operatorname{ara}_{\mathbb{P}^n} X \leq n$  for any algebraic set  $\emptyset \neq X \subseteq \mathbb{P}^n$ ;

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Summarizing, so far we learnt that:

- $\operatorname{codim}_{\mathbb{P}^n} X \leq \operatorname{ara}_{\mathbb{P}^n} X \leq n$  for any algebraic set  $\emptyset \neq X \subseteq \mathbb{P}^n$ ;
- $\operatorname{ara}_{\mathbb{P}^n} X = n$  whenever X is not connected.

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For any nonempty algebraic set  $X \subseteq \mathbb{P}^n$ :

 $\operatorname{ara}_{\mathbb{P}^n} X \leq n.$ 

Summarizing, so far we learnt that:

- $\operatorname{codim}_{\mathbb{P}^n} X \leq \operatorname{ara}_{\mathbb{P}^n} X \leq n$  for any algebraic set  $\emptyset \neq X \subseteq \mathbb{P}^n$ ;
- $\operatorname{ara}_{\mathbb{P}^n} X = n$  whenever X is not connected.

#### How to produce other lower bounds?

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Therefore, by means of the Čech cohomology,  $H^i(\mathbb{P}^n \setminus X, \mathcal{F}) = 0$ for any  $i \ge r$  and each quasi-coherent sheaf  $\mathcal{F}$  on  $\mathbb{P}^n$ .

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The (coherent) **cohomological dimension** of an open set  $U \subseteq \mathbb{P}^n$ :

 $cd(U) = sup\{s : H^{s}(U, \mathcal{F}) \neq 0 \text{ for some coherent sheaf on } \mathbb{P}^{n}\}.$ 

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For what said above, for any algebraic set  $X \subseteq \mathbb{P}^n$  we have:

 $\operatorname{ara}_{\mathbb{P}^n} X \geq \operatorname{cd}(\mathbb{P}^n \setminus X) + 1.$ 

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#### Let $X_3 \subseteq \mathbb{P}^7$ be the set of $2 \times 4$ matrices of rank at most 1.

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$$Z = \begin{pmatrix} z_{11} & z_{12} & z_{13} & z_{14} \\ z_{21} & z_{22} & z_{23} & z_{24} \end{pmatrix}$$

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In fact  $[1,4]^2 = [1,4]([1,4] + [2,3]) + [1,2][3,4] - [1,3][2,4].$ 

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In fact  $[1,4]^2 = [1,4]([1,4] + [2,3]) + [1,2][3,4] - [1,3][2,4]$ . We want to show that  $\arg_{\mathbb{P}^7} X_3 = 5$ , however  $\operatorname{codim}_{\mathbb{P}^7} X_3 = 3$ . In characteristic 0, we can prove that  $\operatorname{cd}(\mathbb{P}^7 \setminus X_3) = 4$  .....

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Notice that  $H^4(\mathbb{P}^7 \setminus X_3, \mathcal{O}(k)) \cong H^5_{\mathcal{I}(X_3)}(S)_k$  for any  $k \in \mathbb{Z}$ .

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$$H^5_{\mathcal{I}(X_3)}(S) = H^5_{(\mathcal{I}(X_3) \cap R)S}(S) \cong H^5_{\mathcal{I}(X_3) \cap R}(S) \longleftrightarrow H^5_{\mathcal{I}(X_3) \cap R}(R).$$

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Thus  $H^4(\mathbb{P}^7 \setminus X_3, \mathcal{O}(k)) \neq 0 \ \forall \ k \ll 0$ , so that in characteristic 0:

 $5 \leq \operatorname{cd}(\mathbb{P}^7 \setminus X_3) + 1 \leq \operatorname{ara}_{\mathbb{P}^7} X_3 \leq 5.$ 

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How can we do in positive characteristic?

## Positive characteristic

Matteo Varbaro (University of Genoa, Italy) Defining equations of algebraic sets

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If char(K) > 0, then for any algebraic set  $X = \mathcal{Z}(I) \subseteq \mathbb{P}^n$ :

 $cd(\mathbb{P}^n \setminus X) \leq projdim(I).$ 

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In the previous example  $\operatorname{projdim}(I_2(Z)) = 2$ ,

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$$\mathsf{cd}(\mathbb{P}^7 \setminus X_3) = egin{cases} 2 & ext{if } \mathsf{char}(K) > 0 \ 4 & ext{if } \mathsf{char}(K) = 0 \end{cases}$$

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However, in 1990 Bruns and Schwänzl managed to prove that  $\operatorname{ara}_{\mathbb{P}^7} X_3 = 5$  also in positive characteristic .....

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So far we considered the **Zariski topology** on  $\mathbb{P}^n$ , which has as closed sets the algebraic sets.

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$$\begin{split} \operatorname{\acute{e}cd}_{\ell}(U) &= \sup\{s : H^{i}(U_{\operatorname{\acute{e}t}}, \mathcal{F}) \neq 0 \quad \text{for some } \ell\text{-torsion sheaf on } \mathbb{P}^{n}\} \\ \operatorname{\acute{e}cd}(U) &= \max\{\operatorname{\acute{e}cd}_{\ell}(U) : \operatorname{GCD}(\ell, \operatorname{char}(K)) = 1\} \end{split}$$

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Because  $\operatorname{\acute{e}cd}(U) \leq n$  whenever U is affine, Mayer-Vietoris yields:

 $\operatorname{ara}_{\mathbb{P}^n} X \ge \operatorname{\acute{e}cd}(U) - n + 1$  for any algebraic set  $X \subseteq \mathbb{P}^n$ .

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# Étale VS Coherent

Matteo Varbaro (University of Genoa, Italy) Defining equations of algebraic sets

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# Étale VS Coherent

So far we have learnt that, given an algebraic set  $X \subseteq \mathbb{P}^n$ :

 $\operatorname{ara}_{\mathbb{P}^n} X \ge \max\{\operatorname{cd}(\mathbb{P}^n \setminus X) + 1, \ \operatorname{\acute{e}cd}(\mathbb{P}^n \setminus X) - n + 1\}.$ 

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 $\operatorname{ara}_{\mathbb{P}^n} X \ge \max{\operatorname{cd}(\mathbb{P}^n \setminus X) + 1, \quad \operatorname{\acute{e}cd}(\mathbb{P}^n \setminus X) - n + 1}.$ 

Instance in which the above maximum is  $> cd(\mathbb{P}^n \setminus X) + 1$  are known in any characteristic.

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For any nonsingular algebraic set  $X \subseteq \mathbb{P}^n$ , if char(K) = 0, then

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Is  $\operatorname{\acute{e}cd}(\mathbb{P}^n \setminus X) \geq \operatorname{codim}_{\mathbb{P}^n} X + n - 1 \quad \forall \text{ algebraic set } X \subseteq \mathbb{P}^n$ ?

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## Open problems

Matteo Varbaro (University of Genoa, Italy) Defining equations of algebraic sets

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Coming back to the defining equations of algebraic sets  $X \subseteq \mathbb{P}^n$ ,

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 $\operatorname{ara}_{\mathbb{P}^n} X > \max\{\operatorname{cd}(\mathbb{P}^n \setminus X) + 1, \operatorname{\acute{e}cd}(\mathbb{P}^n \setminus X) - n + 1\}$ ?

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In particular, it is not known any connected curve in  $\mathbb{P}^3$  which is not a set-theoretic complete intersection.

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In particular, it is not known any connected curve in  $\mathbb{P}^3$  which is not a set-theoretic complete intersection. For example:

Is  $C = \{[s^4, s^3t, st^3, t^4] : [s, t] \in \mathbb{P}^1\} \subseteq \mathbb{P}^3$  a set-theoretic complete intersection when  $char(\mathcal{K}) = 0$  ?

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The above question was originally stated by Hartshorne in 1979, when he proved that the above rational curve is a set-theoretic complete intersection in positive characteristic .....

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