On irregularities of distribution

of binary sequences

relative to arithmetic progressions

Cécile Dartyge (Institut Élie Cartan, Université Lorraine)

joint work with Katalin Gyarmati and András Sárközy

Measures of pseudorandomness

Let $E_N = (e_1, \ldots, e_N) \in \{-1, 1\}^N$.

Definition 1 (Mauduit and Sárközy 1996) The well-distribution measure of the sequence E_N is

$$W(E_N) = \max_{a,b,t} |\sum_{j=0}^{t-1} e_{aj+b}|$$

where $a, b, t \in \mathbb{N}$ and $1 \leq a \leq a + (t-1)b \leq N$. For $k \in \mathbb{N}$, $k \leq N$, the correlation measure of order k of the sequence E_N is defined by

$$C_k(E_N) = \max_{M,D} |\sum_{n=1}^M e_{n+d_1} e_{n+d_2} \cdots e_{n+d_k}|$$

where $D = (d_1, ..., d_k)$ with $0 \le d_1 < d_2 < ... < d_k \le N - M$.

A sequence E_N is said to possess strong pseudorandom properties if $W(E_N)$ and $C_k(E_N)$ are small (at least for small k).

Some Examples

The Legendre symbol

$$E_{p-1} = \left(\left(\frac{1}{p}\right), \left(\frac{2}{p}\right), \dots, \left(\frac{p-1}{p}\right) \right).$$

This sequence has good PR properties (Mauduit and Sárközy)

 $W(E_p) \leq 9\sqrt{p} \log p, \ C_k(E_p) \leq 9\sqrt{p} \log p.$

The Thue-Morse sequence : $T_N = (t_0, t_1, \dots, t_N)$ with $t_n = (-1)^{s_2(n)}$ where $s_2(n)$ is the sum of the digits of n in basis 2.

Gelfond : $W(T_N) \leq 2(1 + \sqrt{3})N^{(\log 3/\log 4)}$ for all $N \in \mathbb{N}$

Mauduit and Sárközy : $C_2(T_N) \ge N/12$ for $N \ge 5$.

Weighted distribution measure

In some applications, we need binary sequences such that their "short" subsequences also satisfy good PR properties.

 $E_N(n,M) = (e_{n+1}, e_{n+2}, \dots, e_{n+M})$ for $0 \le n < n + M \le N$.

Definition 2 (Gyarmati, Sárközy, D)

For $0 \leq \alpha \leq 1/2$, the weighted α -well-distribution measure of E_N is defined by

$$W_{\alpha}(E_N) = \max_{0 \le n < n+M} M^{-\alpha} W(E_N(n, M)).$$

Remark : $W_0(E_N) = W(E_N)$.

Irregularity results

Theorem 1 (Roth 1964) If $N \in \mathbb{N}$, $E_N \in \{-1, 1\}^N$, then there exist $a, t, q \in \mathbb{N}$, $1 \leq a \leq a + (t-1)q \leq N$ and $q \leq \sqrt{N}$ such that :

$$\sum_{j=0}^{t-1} e_{a+jq} \Big| > c_1 N^{1/4},$$

for some absolute constant $c_1 > 0$.

The " $N^{1/4}$ " in Theorem 1 is optimal.

Theorem 2 (Matoušek and Spencer (1996)) There exists a sequence $E_N \in \{-1, 1\}^N$ such that for all a, t, q with $1 \le a \le a + (t-1)q \le N$, we have

$$\Big|\sum_{j=0}^{t-1} e_{a+jq}\Big| < c_2 N^{1/4},$$

with some absolute c_2 .

For $0 \leq \alpha \leq 1/2$, we write

$$m_{\alpha}(N) = \min_{E_N \in \{-1,1\}^N} W_{\alpha}(E_N).$$

Theorem 1 implies : $m_{\alpha}(N) \gg N^{1/4-\alpha}$ for $\alpha \in [0, 1/2]$.

Conjecture 1 For $0 \le \alpha \le 1/2$, we have :

$$N^{1/4-\alpha/2} \ll m_{\alpha}(N) \ll N^{1/4-\alpha/2}$$

The case $\alpha = 0$ is a consequence of Theorem 1 and Theorem 2.

Bounds for random binary sequences

Theorem 3 (Gyarmati, Sárközy, D)

Let $\alpha \in [0, 1/2]$. Then for all $\varepsilon > 0$, there exists $N_0 = N_0(\varepsilon)$, $\delta = \delta(\varepsilon)$ such that if $N > N_0$ then for a random sequence $E_N \in \{-1, 1\}^N$ (that is chosen with probability $1/2^N$), we have

$$P(\delta N^{1/2-\alpha} < W_{\alpha}(E_N) < 6N^{1/2-\alpha}\sqrt{\log N}) > 1-\varepsilon.$$

The case $\alpha = 0$ was done by Cassaigne, Mauduit and Sárközy, and sharpened by Alon, Kohayakawa, Mauduit, Moreira and Rödl, and more recently by Aistleitner.

Proof of the upper bound of $W_{\alpha}(E_N)$ in Theorem 3

We start by applying

$$P\left(\left(\max_{...,.}^{"}...^{"}\right) \geq "....^{"}\right) \leq \sum_{...} P("..." \geq "...").$$

We find :

$$P\left(W_{\alpha}(E_{N}) > 6\frac{\sqrt{N\log N}}{N^{\alpha}}\right) \leq \sum_{\substack{0 \leq n \leq N-M \\ a+(t-1)b \leq M}} P\left(\left|\sum_{j=0}^{t-1} e_{n+a+jb}\right| > 6\sqrt{N\log N} \left(\frac{M}{N}\right)^{\alpha}\right)$$

Lemma 1 (Chernoff's inequality, particular case) Let X_1, \ldots, X_k be independent random variables with $P(X_i = 1) - 1/2 = P(X_i = -1)$. Then for A > 0, we have

$$P(|\sum_{i=1}^{k} X_i| \ge A) \le 2e^{-A^2/2k}.$$

We apply this lemma with $X_i = e_{n+a+(i-1)b}$.

Special sequences

The Rudin-Shapiro sequence

We consider a trigonometric polynomial

$$P(e^{i\theta}) = \sum_{n=1}^{N} \varepsilon_n e^{2i\pi n\theta}, \qquad \varepsilon_n = \pm 1.$$

Parseval :

$$N = \sum_{n=1}^{N} |\varepsilon_n|^2 = \int_0^1 |P(e^t)|^2 dt \le ||P||_{\infty}^2$$

Does there exists (ε_n) such that $||P||_{\infty} \leq A\sqrt{N}$ for all N? This question was solved independently by Rudin (1958) and Shapiro (1951) : $P_N = \sum_{n=0} r_n X^n$, where $(r_n)_{n\geq 0}$ is the Rudin-Shapiro sequence.

The Rudin-Shapiro sequence

 $R_N = (r_0, \ldots, r_{N-1})$, with $r_0 = 1$, $r_{2n} = r_n$ and $r_{2n+1} = -r_n$.

Mauduit and Sárközy : $W(R_N) \leq 2(2 + \sqrt{2})\sqrt{N}$ for all $N \in \mathbb{N}$.

Theorem 4 (K. Gyarmati, A. Sárközy, D)

 $W_{\alpha}(R_N) < 40N^{1/2-\alpha}$

In particular, $W_{1/2}(R_N) < 40$.

Remark : $C_2(R_N) > N/6$ for $N \ge 4$ (Mauduit and Sárközy).

The Legendre symbol

For $p \leq N$ we consider the sequence E_N^p defined by

$$e_n = \begin{cases} \left(rac{n}{p}
ight) & \text{for } (n,p) = 1 \\ 1 & \text{if } p | n \end{cases}$$

Theorem 5 For every $\alpha \in [0, 1/2]$ there exists $N_0 = N_0(\alpha)$ such that for all $N > N_0$ the exists $p \in \left[\frac{N^{\frac{2(1-\alpha)}{3}}}{2}, N^{\frac{2(1-\alpha)}{3}}\right]$ such that $W_{\alpha}(E_N^p) < cN^{\frac{1-\alpha}{3}},$

for some absolute *c*.

Main ingredient of the proof of Theorem 5

Lemma 2 (Montgomery and Vaughan)

There exists an absolute constant c such that for $N \in \mathbb{N}$, $N \geq 2$ there is $p \in [N/2, N]$ satisfying for all $X \in \mathbb{Z}$, $Y \in \mathbb{N}$

$$\Big|\sum_{n=X+1}^{X+Y} \left(\frac{n}{p}\right)\Big| < c\sqrt{p}.$$

For $0 < \alpha \leq 1/2$ we can improve further Theorem 5 by applying Burgess inequality

Theorem 6 (Gyarmati, Sárközy, D) For all $0 \le \alpha \le 1/2$ there is $N_1 = N_1(\alpha)$ such that for $N > N_1$ and p satisfying

$$\frac{1}{2}N^{\frac{8(1-\alpha)}{12-5\alpha}}(\log N)^{-\frac{8\alpha}{12-5\alpha}}$$

and Lemma 2, we have

$$W_{\alpha}(E_N^p) < cN^{\frac{(1-\alpha)(4-5\alpha)}{12-5\alpha}} (\log N)^{-\frac{8\alpha}{12-5\alpha}}$$

For $\alpha = 1/2$ this gives

 $W_{1/2}(E_N^p) < cN^{3/38}(\log N)^{8/19}$

Proof of Theorem 6

For $H \in \mathbb{N}$ we define

$$d(p,H) = \max_{X \in \mathbb{Z}} \left| \sum_{n=X+1}^{X+H} \left(\frac{n}{p} \right) \right|.$$

We need to bound

 $\max_{H \le N} H^{-\alpha} d(p, H).$

Lemma 3 (Burgess inequality for the Legendre symbol) For p prime, $H, r \in \mathbb{N}$ we have for some absolute constant c

$$\max_{X \in \mathbb{Z}} \left| \sum_{n=X+1}^{X+H} \left(\frac{n}{p} \right) \right| < cH^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2}} (\log p)^{1/r}.$$

Lower bound for W_{α} for the Legendre symbol construction

Theorem 7 (Gyarmati, Sárközy, D) For all $0 \le \alpha \le 1/2$, we have $W_{\alpha}(E_{p-1}^p) > \frac{1}{10}p^{1/2-\alpha}.$

Main ingredient of the proof of Theorem 7

Lemma 4 (Winterhof). For any $\mathcal{D} \subset \mathbb{F}_p$ and any multiplicative character $\chi \neq \chi_0$ modulo p we have

$$\sum_{a \in \mathbb{F}_p} \left| \sum_{x \in \mathcal{D}} \chi(x+a) \right|^2 = p|\mathcal{D}| - |\mathcal{D}|^2.$$