

# Probabilistic models for primes and large gaps

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# Large gaps between primes

**Def:**  $G(x) = \max_{p_n \leq x} (p_n - p_{n-1})$ ,  $p_n$  is the  $n^{\text{th}}$  prime.

2, 3, 5, 7, ..., 109, 113, 127, 131, ..., 9547, 9551, 9587, 9601, ...

**Upper bound:**  $G(x) = O(x^{0.525})$  (Baker-Harman-Pintz, 2001).  
Improve to  $O(x^{1/2} \log x)$  on Riemann Hypothesis (Cramér, 1920).

**Lower bound:**  $G(x) \gg (\log x) \frac{\log_2 x \log_4 x}{\log_3 x}$

(F, Green, Konyagin, Maynard, Tao, 2018)

$\log_2 x = \log \log x$ ,  $\log_3 x = \log \log \log x$ , ...

# Conjectures on large prime gaps

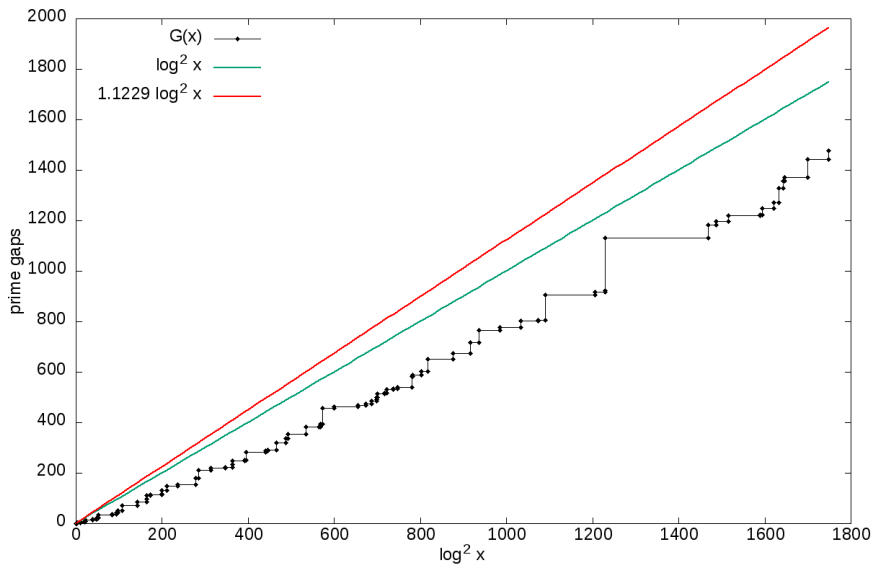
**Cramér (1936):**  $\limsup_{x \rightarrow \infty} \frac{G(x)}{\log^2 x} = 1.$

**Shanks (1964):**  $G(x) \sim \log^2 x.$

**Granville (1995):**  $\limsup_{x \rightarrow \infty} \frac{G(x)}{\log^2 x} \geq 2e^{-\gamma} = 1.1229 \dots$

**Computations:**  $\sup_{x \leq 10^{18}} \frac{G(x)}{\log^2 x} \approx 0.92.$

# Computational evidence, up to $10^{18}$



# Cramér's model of large prime gaps

Random set  $\mathcal{C} = \{C_1, C_2, \dots\} \subset \mathbb{N}$ , choose  $n \geq 3$  to be in  $\mathcal{C}$  with probability

$$\frac{1}{\log n},$$

the  $1/\log n$  matches the density of primes near  $n$ .

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**Theorem.** (Cramér 1936)

With probability 1,

$$\limsup_{m \rightarrow \infty} \frac{C_{m+1} - C_m}{\log^2 C_m} = 1.$$

Cramér: “for the ordinary sequence of prime numbers  $p_n$ , some similar relation may hold”.

# Cramér model and large gaps

$$\text{a.s.} \quad \limsup_{m \rightarrow \infty} \frac{C_{m+1} - C_m}{\log^2 C_m} = 1.$$

## Proof:

$$\mathbb{P}(n+1, \dots, n+k \notin \mathcal{C}) \sim \left(1 - \frac{1}{\log n}\right)^k \sim e^{-k/\log n}.$$

$k > (1 + \varepsilon) \log^2 n$ , this is  $\ll n^{-1-\varepsilon}$ . Sum converges

$k < (1 - \varepsilon) \log^2 n$ , this is  $\gg n^{-1+\varepsilon}$ . Sum diverges.

Finish with Borel-Cantelli.

# Cramér's model defect: global distribution

**Theorem.** (Cramér 1936 “Probabilistic RH”)

With probability 1,  $\pi_{\mathcal{C}}(x) := \#\{n \leq x : n \in \mathcal{C}\} = \text{li}(x) + O(x^{1/2+\varepsilon})$ .

**Theorem.** (Pintz)

$$\mathbb{E}(\pi_{\mathcal{C}}(x) - \text{li}(x))^2 \sim \frac{x}{\log x},$$

**Theorem.** (Cramér 1920)

On R.H.,

$$\frac{1}{x} \int_x^{2x} |\pi(t) - \text{li}(t)|^2 dt \ll \frac{x}{\log^2 x}$$



## Cramér model defect: short intervals

**Theorem.** (Cramér model in short intervals)

$$\text{With prob. 1, } \pi_{\mathcal{C}}(x+y) - \pi_{\mathcal{C}}(x) \sim \frac{y}{\log x} \quad (y/\log^2 x \rightarrow \infty)$$

**Theorem (Selberg).** Let  $\frac{y}{\log^2 x} \rightarrow \infty$ . On RH, for almost all  $x$ ,

$$\pi(x+y) - \pi(x) \sim \frac{y}{\log x}.$$

## Cramér model defect: short intervals

**Theorem.** (Cramér model in short intervals)

$$\text{With prob. 1, } \pi_e(x+y) - \pi_e(x) \sim \frac{y}{\log x} \quad (y/\log^2 x \rightarrow \infty)$$

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$$\pi(x+y) - \pi(x) \sim \frac{y}{\log x}.$$

**Theorem.** (Maier 1985)

$$\forall M > 1, \quad \limsup_{x \rightarrow \infty} \frac{\pi(x + \log^M x) - \pi(x)}{\log^{M-1} x} > 1$$

and

$$\liminf_{x \rightarrow \infty} \frac{\pi(x + \log^M x) - \pi(x)}{\log^{M-1} x} < 1.$$

## Cramér's model defect: $k$ -correlations

**Theorem.** ( $k$ -correlations in Cramér's model)

Let  $\mathcal{H}$  be a finite set of integers. With probability 1,

$$\#\{n \leq x : n + h \in \mathcal{C} \forall h \in \mathcal{H}\} \sim \frac{x}{(\log x)^{|\mathcal{H}|}}.$$

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**This fails for primes, e.g.  $\mathcal{H} = \{0, 1\}$ , because the primes are *biased***

For each prime  $p$ , all but one prime in  $\in \{1, \dots, p-1\}$  modulo  $p$ ;  
But  $\mathcal{C}$  is equidistributed in  $\{0, 1, \dots, p-1\} \bmod p$ .

Even for sets  $\mathcal{H}$  where we expect many prime patterns, e.g.  $\mathcal{H} = \{0, 2\}$  (twin primes), Cramér's model gives the wrong prediction.

# Hardy-Littlewood conjectures for primes

$$\text{Cramér: } \#\{n \leq x : n + h \in \mathcal{C} \forall h \in \mathcal{H}\} \sim \frac{x}{(\log x)^{|\mathcal{H}|}}.$$

## Prime $k$ -tuples Conjecture (Hardy-Littlewood, 1922)

$$\#\{n \leq x : n + h \text{ prime } \forall h \in \mathcal{H}\} \sim \mathfrak{S}(\mathcal{H}) \frac{x}{(\log x)^{|\mathcal{H}|}} \quad (x \rightarrow \infty),$$

where

$$\mathfrak{S}(\mathcal{H}) := \prod_p \left(1 - \frac{|\mathcal{H} \bmod p|}{p}\right) \left(1 - \frac{1}{p}\right)^{-|\mathcal{H}|}.$$

The factor  $\mathfrak{S}(\mathcal{H})$  captures the bias of real primes;

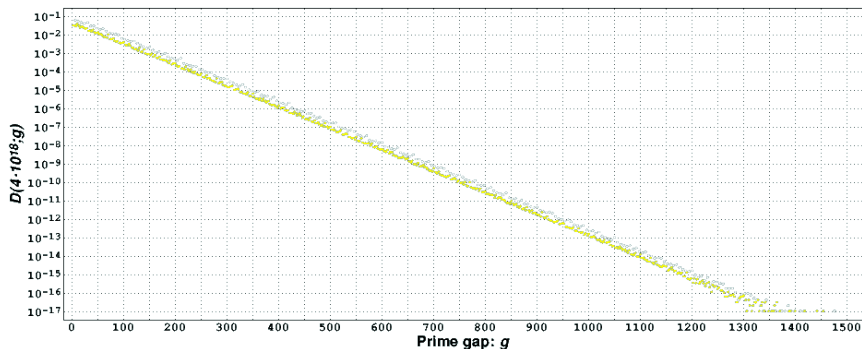
For each  $p$ ,  $\mathcal{H}$  must avoid the forbidden residue class  $0 \pmod p$ .

$\mathcal{H}$  is **admissible** if  $|\mathcal{H} \bmod p| < p$  for all  $p$ .

# Cramér model defect: gaps

**Theorem:** With probability 1,

$$\frac{\#\{C_n \leq N : C_{n+1} - C_n = k\}}{\#\{C_n \leq N\}} \sim \frac{e^{-k/\log N}}{\log N} \quad (N \rightarrow \infty)$$



Actual prime gap statistics,  $p_n < 4 \cdot 10^{18}$

# Granville's refinement of Cramér's model

$$T = o(\log x) \quad Q = \prod_{p \leq T} p = x^{o(1)}$$

Real primes live in  $\mathcal{U}_T := \{n \in \mathbb{Z} : \gcd(n, Q) = 1\}$ , the integers not divisible by any prime  $p \leq T$ . The set  $\mathcal{U}_T$  has density  $\theta = \prod_{p \leq T} (1 - 1/p)$ .

## Granville's random model:

For  $x < n \leq 2x$ , choose  $n$  in  $\mathcal{G}$  with probability

$$\begin{cases} 0 & \text{if } \gcd(n, Q) > 1 \text{ (i.e., } n \notin \mathcal{U}_T) \\ \frac{1/\theta}{\log n} & \text{if } \gcd(n, Q) = 1 \text{ (i.e., } n \in \mathcal{U}_T). \end{cases}$$

**$k$ -correlations.** For all  $\mathcal{H}$ , with probability 1 we have

$$\#\{n \leq x : n + h \in \mathcal{G} \forall h \in \mathcal{H}\} \sim \mathfrak{S}(\mathcal{H}) \frac{x}{(\log x)^{|\mathcal{H}|}}, x \rightarrow \infty.$$

## Granville's refinement of Cramér's model, II

$\mathcal{U}_T := \{n \in \mathbb{Z} : (n, Q) = 1\}$ , (integers with no prime factor  $\leq T$ )

**Theorem.** (Granville 1995)

Write  $\mathcal{G} = \{G_1, G_2, \dots\}$ . With probability 1,

$$\limsup_{n \rightarrow \infty} \frac{G_{n+1} - G_n}{\log^2 G_n} \geq 2e^{-\gamma} = 1.1229 \dots$$

**Idea:** with  $y = c \log^2 x$ ,  $T = y^{1/2+o(1)}$ ,

$$\#([Qm, Qm + y] \cap \mathcal{U}_T) = \#([0, y] \cap \mathcal{U}_T) \sim \frac{y}{\log y}.$$

By contrast, for a *typical*  $a \in \mathbb{Z}$ ,

$$\#([a, a + y] \cap \mathcal{U}_T) \sim y \prod_{p \leq T} \left(1 - \frac{1}{p}\right) \sim 2e^{-\gamma} \frac{y}{\log y} \quad (\text{Mertens})$$



# Minor flaw in Granville's model

Hardy-Littlewood statistics:

$$\#\{n \leq x : n + h \in \mathcal{G} \forall h \in \mathcal{H}\} = \mathfrak{S}(\mathcal{H}) \int_2^x \frac{dt}{(\log t)^{|\mathcal{H}|}} + E_{\mathcal{G}}(x; \mathcal{H}),$$

where

$$E_{\mathcal{G}}(x; \mathcal{H}) = \Omega(x/(\log x)^{|\mathcal{H}|+1}).$$

Conjecture

For any admissible  $\mathcal{H}$ , we have

$$\#\{n \leq x : n + h \text{ prime} \forall h \in \mathcal{H}\} = \mathfrak{S}(\mathcal{H}) \int_2^x \frac{dt}{(\log t)^{|\mathcal{H}|}} + O(x^{1/2+\varepsilon}).$$

Much numerical evidence for this, especially for  
 $\mathcal{H} = \{0, 2\}, \{0, 2, 6\}, \{0, 4, 6\}, \{0, 2, 6, 8\}$ .

# A new “random sieve” model of primes

Random set  $\mathcal{B} \subset \mathbb{N}$ :

- For prime  $p$ , take a **random residue class**  $a_p \in \{0, \dots, p-1\}$ , uniform probability, independent for different  $p$ ;
- Let  $\mathcal{S}_z = \{n \in \mathbb{Z} : n \not\equiv a_p \pmod{p}, p \leq z\}$ , **random sieved set** with

$$\text{density}(\mathcal{S}_z) = \theta_z = \prod_{p \leq z} (1 - 1/p) \sim \frac{e^{-\gamma}}{\log z}.$$

- Take  $z = z(n) \sim n^{1/e^\gamma} = n^{0.56\dots}$  so that  $\theta_{z(n)} \sim \frac{1}{\log n}$ , density of primes.
- Define  $\mathcal{B} = \{n \in \mathbb{N} : n \notin \mathcal{S}_{z(n)}\}$ .

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- Take  $z = z(n) \sim n^{1/e^\gamma} = n^{0.56\dots}$  so that  $\theta_{z(n)} \sim \frac{1}{\log n}$ , density of primes.
- Define  $\mathcal{B} = \{n \in \mathbb{N} : n \notin \mathcal{S}_{z(n)}\}$ .

**Global density:**  $\mathbb{P}(n \in \mathcal{B}) = \mathbb{P}(n \notin \mathcal{S}_{z(n)}) \sim \frac{1}{\log n}$ . Matches primes.

**Difficulty:**  $n_1 \in \mathcal{B}$ ,  $n_2 \in \mathcal{B}$  not independent.

**We conjecture that the primes and  $\mathcal{B}$  share similar *local statistics*.**

# New model $\mathcal{B}$ and Hardy-Littlewood conjectures

## Strong Hardy-Littlewood conjecture (standard version)

$$\#\{n \leq x : n + h \text{ prime } \forall h \in \mathcal{H}\} = \mathfrak{S}(\mathcal{H}) \int_2^x \frac{dt}{(\log t)^{|\mathcal{H}|}} + O(x^{1/2+\varepsilon}).$$

$$\mathfrak{S}(\mathcal{H}) \approx \underbrace{\prod_{p \leq z(t)} \left(1 - \frac{|\mathcal{H} \bmod p|}{p}\right)}_{=\mathbb{P}(\mathcal{H} \subset \mathcal{S}_{z(t)})} \underbrace{\prod_{p \leq z(t)} \left(1 - \frac{1}{p}\right)^{-|\mathcal{H}|}}_{\approx (\log t)^{|\mathcal{H}|}}.$$

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## Strong Hardy-Littlewood conjecture (probabilistic version)

$$\#\{n \leq x : n+h \text{ prime } \forall h \in \mathcal{H}\} = \int_2^x \mathbb{P}(\mathcal{H} \subset \mathcal{S}_{z(t)}) dt + O(x^{1/2+\varepsilon}).$$

## New model and Hardy-Littlewood, II

### Theorem. (BFT 2019)

Fix  $\frac{1}{2} \leq c < 1$ ,  $\varepsilon > 0$ . With probability 1,

$$\#\{n \leq x : n+h \in \mathcal{B} \forall h \in \mathcal{H}\} = \int_2^x \mathbb{P}(\mathcal{H} \subset \mathcal{S}_{z(t)}) dt + O(x^{1/2+\delta(c)+o(1)})$$

**uniformly** for  $\mathcal{H} \subset [0, \exp\{(\log x)^{c-\varepsilon}\}]$  and  $|\mathcal{H}| \leq (\log x)^c$ , where

$$\delta(1/2) = 0, \quad \delta(c) < 1/2 \quad (c > 1/2).$$

**Notes.** Best possible when  $c = 1/2$ , matches strongest conjectured HL.

When  $|\mathcal{H}| \geq \frac{\log x}{\log \log x}$ ,  $\mathbb{P}(\mathcal{H} \subset \mathcal{S}_{z(t)})$  is very tiny ( $< 1/x$ ), and we cannot expect a result uniformly for such  $\mathcal{H}$ .

# New model and large gaps: Interval sieve

## Interval sieve extremal bound

Define

$$W_y := \min_{(a_p)} |[0, y] \cap \mathcal{S}_{(y/\log y)^{1/2}}|$$
$$= \min_u \#\left\{n \in (u, u + y] : n \text{ has no prime factor} \leq \left(\frac{y}{\log y}\right)^{1/2}\right\}.$$

**Known bounds:**

$$\frac{4y \log_2 y}{\log^2 y} \lesssim W_y \lesssim \frac{y}{\log y}.$$

**Upper bound:**  $u = 0$  and  $a_p = 0 \forall p$ . **Lower bound:** Iwaniec, linear sieve.

## Folklore conjecture

$$W_y \sim y/\log y \text{ as } y \rightarrow \infty.$$

# New model and large gaps

**Def:**  $G_{\mathcal{B}}(x)$  is largest gap between consec. elements of  $\mathcal{B}$  that are  $\leq x$ .

$$W_y := \min |[0, y] \cap \mathcal{S}_{(y/\log y)^{1/2}}|. \quad g(u) := \max\{y : W_y \log y \leq u\}.$$

Then

$$\frac{4y \log_2 y}{\log^2 y} \lesssim W_y \lesssim \frac{y}{\log y} \quad \Rightarrow \quad u \lesssim g(u) \lesssim \frac{u \log u}{4 \log_2 u}.$$

**Theorem.** (BFT 2019)

Let  $\xi = 2e^{-\gamma}$ . For all  $\varepsilon > 0$ , with probability 1 there is  $x_0$  s.t.

$$g((1 - \varepsilon)\xi \log^2 x) \leq G_{\mathcal{B}}(x) \leq g((1 + \varepsilon)\xi \log^2 x) \quad (x > x_0).$$

**Proof tools:** Small sieve, large sieve, large deviation inequalities (Bennett's inequality, Azuma's martingale inequality), combinatorics, ...



# New model and large gaps

## **Theorem.** (BFT 2019)

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## **Conjecture.** (BFT 2019)

For the largest gap  $G(x)$  between primes  $\leq x$ ,

$$G(x) \sim g(\xi \log^2 x) \quad (x \rightarrow \infty).$$

# New model and large gaps

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## Conjecture. (BFT 2019)

For the largest gap  $G(x)$  between primes  $\leq x$ ,

$$G(x) \sim g(\xi \log^2 x) \quad (x \rightarrow \infty).$$

Possible range of  $g()$  implies

$$\underbrace{\xi \log^2 x}_{\text{Granville's lower bound}} \lesssim g(\xi \log^2 x) \lesssim \xi \log^2 x \frac{\log_2 x}{2 \log_3 x}.$$

# Gallagher: HL implies Poisson gaps

**Theorem.** (Gallagher 1976)

Assume:

$$\#\{n \leq x : n + h \text{ prime } \forall h \in \mathcal{H}\} \sim \mathfrak{S}(\mathcal{H}) \int_2^x \frac{dt}{\log^{|\mathcal{H}|} t}$$

uniformly for  $|\mathcal{H}| \leq k$  ( $k$  fixed) and  $\mathcal{H} \subset [0, \log^2 x]$ . Then  $\pi(x + \lambda \log x) - \pi(x) \stackrel{d}{=} \text{Poisson}(\lambda)$ , e.g.,

$$\#\{n \leq x : p_{n+1} - p_n > \lambda \log x\} \sim e^{-\lambda} \pi(x)$$

Main tool:  $\sum_{\substack{\mathcal{H} \subset [0, y] \\ |\mathcal{H}| = k}} \mathfrak{S}(\mathcal{H}) \sim y^k / k!$ .

Montgomery-Soundararajan improvement (2004). Poor uniformity in  $k$ .

# Hardy-Littlewood implies large gaps

**Theorem.** (BFT 2019)

Let  $\mathbf{1}_{\mathcal{A}}$  be the indicator function of  $\mathcal{A}$ . If  $\mathcal{A} \subset \mathbb{N}$  satisfies

$$\begin{aligned} \sum_{n \leq x} \prod_{h \in \mathcal{H}} \mathbf{1}_{\mathcal{A}}(n+h) &= \mathfrak{S}(\mathcal{H}) \int_2^x \frac{dt}{\log^{|\mathcal{H}|} t} + O(x^{2/3}) \\ &= \int_2^x \mathbb{P}(\mathcal{H} \subset \mathcal{S}_{z(t)}) dt + O(x^{2/3}) \end{aligned}$$

uniformly over all tuples  $\mathcal{H} \subset [0, \log^2 x]$  with  $|\mathcal{H}| \leq \frac{\log x}{6 \log_2 x}$ , then

$$G_{\mathcal{A}}(x) := \max\{b - a : 1 \leq a < b \leq x, (a, b] \cap \mathcal{A} = \emptyset\} \geq c \frac{\log^2 x}{\log_2 x}.$$

# Averaged Hardy-Littlewood implies large gaps

## Theorem. (BFT 2019)

Fix  $0 < c < 1$ . Suppose that  $\mathcal{A} \subset \mathbb{N}$  satisfies the averaged Hardy-Littlewood type conjecture

$$\sum_{\substack{\mathcal{H} \subset [0, y] \\ |\mathcal{H}| = k}} \sum_{n \leq x} \prod_{h \in \mathcal{H}} \mathbf{1}_{\mathcal{A}}(n+h) = \sum_{\substack{\mathcal{H} \subset [0, y] \\ |\mathcal{H}| = k}} \int_2^x \frac{\mathfrak{S}_{z(t)}(\mathcal{H})}{\log^k t} dt + O(x^{1-c})$$

uniformly for  $k \leq \frac{Cy}{\log x}$  and  $\log x \leq y \leq (\log^2 x) \log_2 x$ . Then

$$G_{\mathcal{A}}(x) \gtrsim g(c\xi \log^2 x).$$

Recall:  $G_{\mathcal{B}}(x) \approx g(\xi \log^2 x)$ , and  $u \lesssim g(u) \ll u(\log u)^{1-o(1)}$ .

# Large gaps from Hardy-Littlewood

**Proof sketch:** Weighted count of gaps of size  $\geq y$ :

$$\begin{aligned} \#\{n \leq x : [n, n+y] \cap \mathcal{A} = \emptyset\} &= \sum_{n \leq x} \underbrace{\prod_{0 \leq h \leq y} (1 - \mathbf{1}_{\mathcal{A}}(n+h))}_{\text{gap detector}} \\ &= \sum_{k=0}^y (-1)^k \sum_{\substack{\mathcal{H} \subset [0, y] \\ |\mathcal{H}|=k}} \underbrace{\sum_{n \leq x} \prod_{h \in \mathcal{H}} \mathbf{1}_{\mathcal{A}}(n+h)}_{\text{HL assumption}} \\ &\approx \sum_{k=0}^y (-1)^k \sum_{\substack{\mathcal{H} \subset [0, y] \\ |\mathcal{H}|=k}} \int_2^x \mathbb{P}(\mathcal{H} \subset \mathcal{S}_{z(t)}) dt \\ &= \int_2^x \mathbb{E} \sum_{k=0}^y (-1)^k \binom{|\mathcal{S}_{z(t)} \cap [0, y]|}{k} dt \\ &= \int_2^x \mathbb{P}(\mathcal{S}_{z(t)} \cap [0, y] = \emptyset) dt. \end{aligned}$$

# HL implies no super-large gaps?

Does a uniform HL for  $\mathcal{A}$  imply an *upper bound* on large gaps?

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Does a uniform HL for  $\mathcal{A}$  imply an *upper bound* on large gaps?

**Answer: NO!**

Removal of all elements of  $\mathcal{A}$  in an interval  $(y, y + \sqrt{y})$ , for an infinite sequence of  $y$ 's, does not affect the HL statistics but creates a very large gap.



# Longer intervals (D. Koukoulopoulos)

## Definition

$$\beta^+(u) = \limsup_{y \rightarrow \infty} \max_{(a_p)} \left( \left| [0, y] \cap S_{y^{1/u}} \right| \frac{\log(y^{1/u})}{e^{-\gamma y}} \right),$$
$$\beta^-(u) = \liminf_{y \rightarrow \infty} \min_{(a_p)} \left( \left| [0, y] \cap S_{y^{1/u}} \right| \frac{\log(y^{1/u})}{e^{-\gamma y}} \right).$$

## Conjecture

$$\forall u > 2, \quad \limsup_{x \rightarrow \infty} \frac{\pi(x + \log^u x) - \pi(x)}{\log^{u-1} x} = \beta^+(u)$$

and








$$\liminf_{x \rightarrow \infty} \frac{\pi(x + \log^u x) - \pi(x)}{\log^{u-1} x} = \beta^-(u).$$

**Linear sieve + Maier:**  $0 < \beta^-(u) < 1 < \beta^+(u)$  for  $u > 2$ .

# Summary

Set	Hardy-Littlewood conjecture?	Asymptotic largest gap up to $x$
$\mathcal{C}$	No (singular series is missing)	$\log^2 x$
$\mathcal{G}$	Yes (with weak error term)	$\xi \log^2 x \leq \cdot \leq \frac{\xi}{2} \frac{(\log^2 x) \log_2 x}{\log_3 x}$
$\mathcal{B}$	Yes (with error $O(x^{1-c})$ )	$\xi \log^2 x \leq \cdot \leq \frac{\xi}{2} \frac{(\log^2 x) \log_2 x}{\log_3 x}$
Primes	Yes (conjecturally)	$\xi \log^2 x$ (conjecturally)
$\mathcal{A}$	Assumed (error $O(x^{1-c})$ )	$\gg c \frac{\log^2 x}{\log_2 x}$
$\mathcal{A}$	Assumed on avg. (error $O(x^{1-c})$ )	$\geq g(c\xi \log^2 x)$

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