

# On the Duffin-Schaeffer conjecture

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joint work with James Maynard<sup>2</sup>

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Second Symposium in Analytic Number Theory  
Cetraro, Italy  
10 July 2019

## The problem

Given  $\psi : \mathbb{N} \rightarrow [0, +\infty)$  and  $\alpha \in [0, 1]$ , solve the inequality

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**Goal:** understand when set of exceptional  $\alpha$ 's has null measure

## Khinchin's theorem

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- **Khinchin** (1924) proved a partial converse:

$$q\psi(q) \searrow \quad \& \quad \sum_q \psi(q) = \infty \Rightarrow \lambda(\mathcal{K}) = 1.$$

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- **Duffin and Schaeffer** (1941) conjecture a strong converse is also true:

$$\sum_q \frac{\psi(q)\varphi(q)}{q} = \infty \quad \Rightarrow \quad \lambda(\mathcal{A}) = 1.$$

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- **Aistleitner** (2014) : DSC when  $\psi$  is not ‘too concentrated’, so that  $\sum_{2^{2j} < q \leq 2^{2j+1}} \psi(q)\varphi(q)/q = O(1/j)$ .

## New results

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$\mathcal{K} := \{\alpha \in [0, 1] : |\alpha - a/q| \leq \psi(q)/q \text{ for infinitely many } 0 \leq a \leq q\}$

$S := \sum_q \varphi(q) \min_{m \geq 1} (\psi(qm)/qm)$

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Using a theorem of [Beresnevich-Velani](#) we also obtain:

### Corollary

$\mathcal{A} := \{\alpha \in [0, 1] : |\alpha - a/q| \leq \psi(q)/q \text{ for inf. many **coprime** } 1 \leq a \leq q\}$

*Assuming  $0 \leq \psi \leq 1/2$ , let  $s = \inf\{\beta \geq 0 : \sum_q \varphi(q)(\psi(q)/q)^\beta < \infty\}$ .*

*Then*

$$\dim_{\text{Hausdorff}}(\mathcal{A}) = \min\{s, 1\}.$$

# Inverting Borel-Cantelli

Set-up :  $\mathcal{A}_q = \bigcup_{\substack{1 \leq a \leq q \\ \gcd(a,q)=1}} \left[ \frac{a - \psi(q)}{q}, \frac{a + \psi(q)}{q} \right], \quad \mathcal{A} = \limsup_{q \rightarrow \infty} \mathcal{A}_q,$

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Working heuristic: the sets  $\mathcal{A}_q$  are quasi-independent events of the probability space  $[0, 1]$  and should thus have limited overlap if the sum of their measures is  $\leq 1$ .



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This is enough because it implies  $\lambda(\mathcal{A}) > 0$  and we know that  $\lambda(\mathcal{A}) \in \{0, 1\}$  by [Gallagher's 0-1 law](#).

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**Pollington-Vaughan:** for  $q, r \in \mathcal{S}$ , we have

$$\frac{\lambda(\mathcal{A}_q \cap \mathcal{A}_r)}{\lambda(\mathcal{A}_q)\lambda(\mathcal{A}_r)} \ll 1 + \mathbf{1}_{\text{gcd}(q,r) \leq x^{1-c}} \prod_{\substack{p \mid \frac{\text{lcm}[q,r]}{\text{gcd}(q,r)} \\ p > x^{1-c}/\text{gcd}(q,r)}} \left(1 + \frac{1}{p}\right).$$

**Revised goal:** if  $\sum_{q \in \mathcal{S}} \frac{\varphi(q)}{q} \asymp x^c$ ,  $\mathcal{S} \subset \{x \leq q \leq 2x : q \text{ square-free}\}$ ,

show that 
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**Re-revised goal:** assuming that  $\sum_{q \in \mathcal{S}} \varphi(q)/q \asymp x^c$ , show that

$$\sum_{\substack{q, r \in \mathcal{S}, L_t(q, r) \geq 100 \\ x^{1-c}/t \leq \gcd(q, r) \leq x^{1-c}}} \frac{\varphi(q)}{q} \cdot \frac{\varphi(r)}{r} \ll \frac{x^{2c}}{t}.$$

## Two conditions

$$\sum_{q \in \mathcal{S}} \frac{\varphi(q)}{q} \asymp x^c \quad \stackrel{?}{\implies} \quad \sum_{\substack{q, r \in \mathcal{S} \\ \gcd(q, r) \geq x^{1-c/t} \\ L_t(q, r) \geq 100}} \frac{\varphi(q)}{q} \cdot \frac{\varphi(r)}{r} \ll \frac{x^{2c}}{t}$$

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- Hope to remove factor  $t^2$  by exploiting the anatomical condition  $L_t(q, r) \geq 100$ .
- But: how to remove the factor  $x^{o(1)}$ ?

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Recall:  $\mathcal{S} \subset [x, 2x]$  and  $\sum_{q \in \mathcal{S}} \varphi(q)/q \asymp x^c$ .

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If yes, we are done: we may replace the factor  $x^{o(1)}$  with  $t^{O(1)}$ . We may then kill this new factor using the anatomical condition  $L_t(q, r) \geq 100$ .

## Compressing GCD graphs

- $G = (\mathcal{V}, \mathcal{W}, \mathcal{E})$  bipartite graph;
- $\mathcal{V}, \mathcal{W} \subset \mathcal{S}$ ;
- $\mathcal{E} \subset \{(v, w) \in \mathcal{V} \times \mathcal{W} : \gcd(v, w) \geq x^{1-c}/t, L_t(v, w) \geq 100\}$ ;
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Arrive at  $G^{\text{end}} = (\mathcal{V}^{\text{end}}, \mathcal{W}^{\text{end}}, \mathcal{E}^{\text{end}})$  where there are  $a, b \in \mathbb{N}$  s.t.

- all vertices in  $\mathcal{V}^{\text{end}}$  are multiples of  $a$ ;
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**Important requirement:** the size of  $\mathcal{E}^{\text{start}}$  must be somehow controlled by the size of  $\mathcal{E}^{\text{end}}$ .

## Variations of density-increment arguments

**First attempt:** consider weighted edge density

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Classical density-increment arguments due to Roth, Szemerédi, etc.

Hard to use here:  $\delta$  loses control of the size of the vertex sets and thus it is very hard to exploit the anatomical condition  $L_t(v, w) \geq 100$ .

**Second attempt:** reverse engineer, starting from 'end graph'.

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We have  $\gcd(a, b) = \gcd(v, w) \geq x^{1-c}/t$  and

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So we could try to increase

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Problem: hard to increase  $\tilde{q}$ .

**Third attempt:** consider a hybrid.

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The **quality** of the GCD graph  $G$  is defined by

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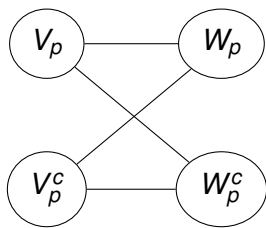
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Quality increment can be made to work AND we have control on vertex sets

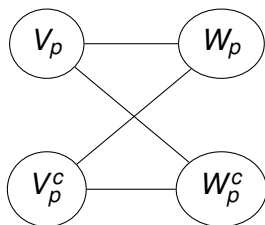
## A very rough sketch of the quality-increment argument

$$V_p = \{v \in \mathcal{V} : p|v\}, \quad \mathcal{V}_p^c = \{v \in \mathcal{V} : p \nmid v\} \quad (\text{square-free integers})$$



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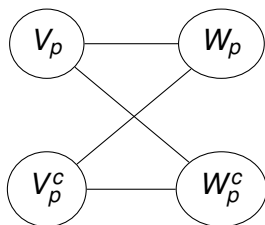
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**Goal:** focus on one of the four graphs induced by the pairs of vertex sets  $(V_p, W_p)$ ,  $(V_p, W_p^c)$ ,  $(V_p^c, W_p)$ ,  $(V_p^c, W_p^c)$ .

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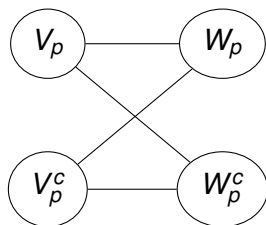
**Goal:** focus on one of the four graphs induced by the pairs of vertex sets  $(\mathcal{V}_p, \mathcal{W}_p)$ ,  $(\mathcal{V}_p, \mathcal{W}_p^c)$ ,  $(\mathcal{V}_p^c, \mathcal{W}_p)$ ,  $(\mathcal{V}_p^c, \mathcal{W}_p^c)$ .

In  $(\mathcal{V}_p^c, \mathcal{W}_p)$  and in  $(\mathcal{V}_p, \mathcal{W}_p^c)$  we gain factor  $p$  in quality.



## A very rough sketch of the quality-increment argument

$$\mathcal{V}_p = \{v \in \mathcal{V} : p|v\}, \quad \mathcal{V}_p^c = \{v \in \mathcal{V} : p \nmid v\} \quad (\text{square-free integers})$$



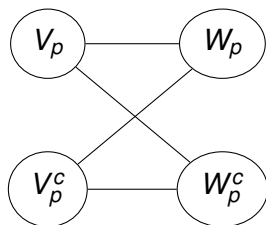
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In  $(\mathcal{V}_p^c, \mathcal{W}_p)$  and in  $(\mathcal{V}_p, \mathcal{W}_p^c)$  we gain factor  $p$  in quality.

Hard case when  $|\mathcal{V}_p|, |\mathcal{W}_p| \sim 1 - O(1/p)$ , or when  $|\mathcal{V}_p^c|, |\mathcal{W}_p^c| = 1 - O(1/p)$ .

## A very rough sketch of the quality-increment argument

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**Goal:** focus on one of the four graphs induced by the pairs of vertex sets  $(\mathcal{V}_p, \mathcal{W}_p)$ ,  $(\mathcal{V}_p, \mathcal{W}_p^c)$ ,  $(\mathcal{V}_p^c, \mathcal{W}_p)$ ,  $(\mathcal{V}_p^c, \mathcal{W}_p^c)$ .

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Hard case when  $|\mathcal{V}_p|, |\mathcal{W}_p| \sim 1 - O(1/p)$ , or when  $|\mathcal{V}_p^c|, |\mathcal{W}_p^c| = 1 - O(1/p)$ .

Weight  $\mu(v) = \varphi(v)/v$  is of crucial importance to deal with this hard case. Gain factor  $1 + 1/p$  in quality.

# Thank you!

\*Preprint available at `dms.umontreal.ca/~koukoulo/`  
`documents/publications/DS.pdf` **after the talk**