

Integral Factorial Ratios

K. Soundararajan

July 9, 2019

The Problem: Classify Integral Factorial Ratios

Find all positive integers $a_1, \dots, a_K, b_1, \dots, b_L$ such that for all $n \in \mathbb{N}$

$$\frac{(a_1 n)!(a_2 n)! \cdots (a_K n)!}{(b_1 n)! \cdots (b_L n)!} \text{ is an integer,}$$

with

$$\sum_{i=1}^K a_i = \sum_{j=1}^L b_j.$$

The Problem: Classify Integral Factorial Ratios

Find all positive integers $a_1, \dots, a_K, b_1, \dots, b_L$ such that for all $n \in \mathbb{N}$

$$\frac{(a_1 n)! (a_2 n)! \cdots (a_K n)!}{(b_1 n)! \cdots (b_L n)!} \text{ is an integer,}$$

with

$$\sum_{i=1}^K a_i = \sum_{j=1}^L b_j.$$

Can assume:

$$a_i \neq b_j$$

$$\text{g.c.d.}(a_1, \dots, a_K, b_1, \dots, b_L) = 1$$

$$L > K$$

$$L = K + D \quad D = \text{"height"}$$

The case $L = K + 1$

Three infinite families:

$$K = 1, L = 2 : \quad \frac{((a+b)n)!}{(an)!(bn)!} = \binom{(a+b)n}{an}, \quad (a, b) = 1$$

$$K = 2, L = 3 : \quad \frac{(2an)!(2bn)!}{(an)!(bn)!((a+b)n)!}, \quad (a, b) = 1$$

$$K = 2, L = 3 : \quad \frac{(2an)!(bn)!}{(an)!(2bn)!((a-b)n)!}, \quad a > b, (a, b) = 1$$

The case $L = K + 1$

Three infinite families:

$$K = 1, L = 2 : \quad \frac{((a+b)n)!}{(an)!(bn)!} = \binom{(a+b)n}{an}, \quad (a, b) = 1$$

$$K = 2, L = 3 : \quad \frac{(2an)!(2bn)!}{(an)!(bn)!((a+b)n)!}, \quad (a, b) = 1$$

$$K = 2, L = 3 : \quad \frac{(2an)!(bn)!}{(an)!(2bn)!((a-b)n)!}, \quad a > b, (a, b) = 1$$

Fifty two sporadic examples! 29 examples with $K = 2, L = 3$; 21 examples with $K = 3, L = 4$; 2 examples with $K = 4, L = 5$.

$$\frac{30, 1}{15, 10, 6};$$

$$\frac{30, 9, 5}{18, 15, 10, 1};$$

$$\frac{24, 9, 6, 4}{18, 12, 8, 3, 2}$$

The case $L = K + 1$

Three infinite families:

$$K = 1, L = 2 : \quad \frac{((a+b)n)!}{(an)!(bn)!} = \binom{(a+b)n}{an}, \quad (a, b) = 1$$

$$K = 2, L = 3 : \quad \frac{(2an)!(2bn)!}{(an)!(bn)!((a+b)n)!}, \quad (a, b) = 1$$

$$K = 2, L = 3 : \quad \frac{(2an)!(bn)!}{(an)!(2bn)!((a-b)n)!}, \quad a > b, (a, b) = 1$$

Fifty two sporadic examples! 29 examples with $K = 2, L = 3$; 21 examples with $K = 3, L = 4$; 2 examples with $K = 4, L = 5$.

$$\frac{30, 1}{15, 10, 6}; \quad \frac{30, 9, 5}{18, 15, 10, 1}; \quad \frac{24, 9, 6, 4}{18, 12, 8, 3, 2}$$

Theorem established by Bober (2007), following Rodriguez Villegas (2007) and Beukers & Heckman (1989). Vasyunin (2002).

Landau's criterion

Integrality of

$$\frac{(a_1 n)! \cdots (a_K n)!}{(b_1 n)! \cdots (b_L n)!}$$

for all $n \in \mathbb{N}$ equivalent to:

$$f(x; \mathbf{a}, \mathbf{b}) = \sum_{i=1}^K \lfloor a_i x \rfloor - \sum_{j=1}^L \lfloor b_j x \rfloor \geq 0$$

for all $x \in \mathbb{R}$.

Landau's criterion

Integrality of

$$\frac{(a_1 n)! \cdots (a_K n)!}{(b_1 n)! \cdots (b_L n)!}$$

for all $n \in \mathbb{N}$ equivalent to:

$$f(x; \mathbf{a}, \mathbf{b}) = \sum_{i=1}^K \lfloor a_i x \rfloor - \sum_{j=1}^L \lfloor b_j x \rfloor \geq 0$$

for all $x \in \mathbb{R}$.

Explains why can assume primitive: $\gcd(a_1, \dots, a_K, b_1, \dots, b_L) = 1$.

Apart from some rational numbers: ($\lfloor -x \rfloor = -\lfloor x \rfloor - 1$)

$$f(-x; \mathbf{a}, \mathbf{b}) = (L - K) - f(x; \mathbf{a}, \mathbf{b}).$$

Can assume $D = L - K > 0$, and must have

$$f(x; \mathbf{a}, \mathbf{b}) \in \{0, \dots, D\}.$$

Chebyshev's example

$$\frac{(30n)!n!}{(15n)!(10n)!(6n)!} \in \mathbb{N}.$$

Used to prove: for large x

$$0.9212 \dots \frac{x}{\log x} \leq \pi(x) \leq 1.1055 \dots \frac{x}{\log x}.$$

Chebyshev's example

$$\frac{(30n)!n!}{(15n)!(10n)!(6n)!} \in \mathbb{N}.$$

Used to prove: for large x

$$0.9212 \dots \frac{x}{\log x} \leq \pi(x) \leq 1.1055 \dots \frac{x}{\log x}.$$

Idea: show that the power of any prime dividing ratio is non-negative.

Reduces to needing: for any $x \geq 0$

$$f(x) = \lfloor 30x \rfloor + \lfloor x \rfloor - \lfloor 15x \rfloor - \lfloor 10x \rfloor - \lfloor 6x \rfloor \geq 0$$

Equivalent to:

$$f(x) = \{15x\} + \{10x\} + \{6x\} - \{30x\} - \{x\} \in \{0, 1\}.$$

$$\lfloor mx \rfloor = \lfloor x \rfloor + \lfloor x + 1/m \rfloor + \lfloor x + 2/m \rfloor + \dots + \lfloor x + (m-1)/m \rfloor$$

$$f(x) = \sum [x + \text{blue}] - \sum [x + \text{red}]$$

$$0, \frac{1}{30}, \frac{1}{5}, \frac{7}{30}, \frac{1}{3}, \frac{11}{30}, \frac{2}{5}, \frac{13}{30}, \frac{1}{2}, \frac{17}{30}, \frac{3}{5}, \frac{19}{30}, \frac{2}{3}, \frac{23}{30}, \frac{4}{5}, \frac{29}{30}$$

$$\lfloor mx \rfloor = \lfloor x \rfloor + \lfloor x + 1/m \rfloor + \lfloor x + 2/m \rfloor + \dots + \lfloor x + (m-1)/m \rfloor$$

$$f(x) = \sum \lfloor x + \text{blue} \rfloor - \sum \lfloor x + \text{red} \rfloor$$

$$0, \frac{1}{30}, \frac{1}{5}, \frac{7}{30}, \frac{1}{3}, \frac{11}{30}, \frac{2}{5}, \frac{13}{30}, \frac{1}{2}, \frac{17}{30}, \frac{3}{5}, \frac{19}{30}, \frac{2}{3}, \frac{23}{30}, \frac{4}{5}, \frac{29}{30}$$

$$\frac{(x^{30} - 1)(x - 1)}{(x^{15} - 1)(x^{10} - 1)(x^6 - 1)}$$

Roots of **numerator** and **denominator** interlace.

$$\lfloor mx \rfloor = \lfloor x \rfloor + \lfloor x + 1/m \rfloor + \lfloor x + 2/m \rfloor + \dots + \lfloor x + (m-1)/m \rfloor$$

$$f(x) = \sum [x + \text{blue}] - \sum [x + \text{red}]$$

$$0, \frac{1}{30}, \frac{1}{5}, \frac{7}{30}, \frac{1}{3}, \frac{11}{30}, \frac{2}{5}, \frac{13}{30}, \frac{1}{2}, \frac{17}{30}, \frac{3}{5}, \frac{19}{30}, \frac{2}{3}, \frac{23}{30}, \frac{4}{5}, \frac{29}{30}$$

$$\frac{(x^{30} - 1)(x - 1)}{(x^{15} - 1)(x^{10} - 1)(x^6 - 1)}$$

Roots of **numerator** and **denominator** interlace.

Rodriguez Villegas: Algebraic hypergeometric function (polynomial of degree 483840)

$$\sum_{n=0}^{\infty} \frac{(30n)!n!}{(15n)!(10n)!(6n)!} x^n = {}_8F_7[\text{blue}; \text{red} | x]$$

Interlacing fractions

Problem: Find finite multi-sets (of equal cardinality) **Red** and **Blue** of fractions in $[0, 1)$ such that (i) **Red** contains D zeros, (ii) for all $x \in [0, 1)$

$$0 \leq \#(\text{Red} \leq x) - \#(\text{Blue} \leq x) \leq D,$$

and (iii) if a fraction appears in one of the sets then all reduced fractions with the same denominator also appear in that set.

Interlacing fractions

Problem: Find finite multi-sets (of equal cardinality) **Red** and **Blue** of fractions in $[0, 1)$ such that (i) **Red** contains D zeros, (ii) for all $x \in [0, 1)$

$$0 \leq \#(\text{Red} \leq x) - \#(\text{Blue} \leq x) \leq D,$$

and (iii) if a fraction appears in one of the sets then all reduced fractions with the same denominator also appear in that set.

$D = 1$: Perfect interlacing — infinite families + 52 sporadic.

Interlacing fractions

Problem: Find finite multi-sets (of equal cardinality) **Red** and **Blue** of fractions in $[0, 1)$ such that (i) **Red** contains D zeros, (ii) for all $x \in [0, 1)$

$$0 \leq \#(\text{Red} \leq x) - \#(\text{Blue} \leq x) \leq D,$$

and (iii) if a fraction appears in one of the sets then all reduced fractions with the same denominator also appear in that set.

$D = 1$: Perfect interlacing — infinite families + 52 sporadic.

$D = 1$ case: Beukers & Heckman solve more general problem.

Don't require all reduced fractions with the same denominator.

Instead: for all n coprime to denominators of fractions, want

n **Red** and n **Blue** to interlace.

“Integrality” (apart from finitely many primes in denominator) of

$$\prod_{j=1}^n \prod_{i=1}^k \frac{(j + \alpha_i)}{(j + \beta_i)}, \quad \text{for all } n \in \mathbb{N}.$$

Reformulating the problem

Saw-tooth function:

$$\psi(x) = 1/2 - \{x\} = \sum_{n \neq 0} \frac{e^{2\pi i n x}}{2\pi i n}.$$

Odd function with mean 0 and periodic with period 1.

Reformulating the problem

Saw-tooth function:

$$\psi(x) = 1/2 - \{x\} = \sum_{n \neq 0} \frac{e^{2\pi i n x}}{2\pi i n}.$$

Odd function with mean 0 and periodic with period 1.

$\mathbf{a} = [a_1, a_2, \dots, a_N]$ list of N non-zero integers.

Length: $\ell(\mathbf{a}) = N$. Sum: $s(\mathbf{a}) = a_1 + \dots + a_N$.

Assume **non-degenerate**: a and $-a$ cannot both be in the list.

Associated 1-periodic function and its L^2 -norm:

$$\mathbf{a}(x) = \sum_{i=1}^N \psi(a_i x), \quad N(\mathbf{a}) = \int_0^1 \mathbf{a}(x)^2 dx.$$

Reformulating the problem

Saw-tooth function:

$$\psi(x) = 1/2 - \{x\} = \sum_{n \neq 0} \frac{e^{2\pi i n x}}{2\pi i n}.$$

Odd function with mean 0 and periodic with period 1.

$\mathbf{a} = [a_1, a_2, \dots, a_N]$ list of N non-zero integers.

Length: $\ell(\mathbf{a}) = N$. Sum: $s(\mathbf{a}) = a_1 + \dots + a_N$.

Assume **non-degenerate**: a and $-a$ cannot both be in the list.

Associated 1-periodic function and its L^2 -norm:

$$\mathbf{a}(x) = \sum_{i=1}^N \psi(a_i x), \quad N(\mathbf{a}) = \int_0^1 \mathbf{a}(x)^2 dx.$$

$$\int_0^1 \psi(ax)\psi(bx) dx = \frac{1}{12} \frac{(a, b)^2}{ab}$$

$$N(\mathbf{a}) = \frac{1}{12} \sum_{i,j=1}^N \frac{(a_i, a_j)^2}{a_i a_j} = N(k\mathbf{a}).$$

Connection with integral factorial ratios

Associate to

$$\frac{(a_1 n)! \cdots (a_K n)!}{(b_1 n)! \cdots (b_L n)!}$$

the list

$$\mathbf{a} = [a_1, \dots, a_K, -b_1, \dots, -b_L], \quad \text{with } s(\mathbf{a}) = 0.$$

Connection with integral factorial ratios

Associate to

$$\frac{(a_1 n)! \cdots (a_K n)!}{(b_1 n)! \cdots (b_L n)!}$$

the list

$$\mathbf{a} = [a_1, \dots, a_K, -b_1, \dots, -b_L], \quad \text{with } s(\mathbf{a}) = 0.$$

Integrality of factorial ratio equivalent to:

$$\mathbf{a}(x) \in \{-D/2, -D/2 + 1, \dots, D/2\}.$$

Connection with integral factorial ratios

Associate to

$$\frac{(a_1 n)! \cdots (a_K n)!}{(b_1 n)! \cdots (b_L n)!}$$

the list

$$\mathbf{a} = [a_1, \dots, a_K, -b_1, \dots, -b_L], \quad \text{with } s(\mathbf{a}) = 0.$$

Integrality of factorial ratio equivalent to:

$$\alpha(x) \in \{-D/2, -D/2 + 1, \dots, D/2\}.$$

Especially nice for $D = 1$: $\alpha(x) = -1/2$ or $1/2$.

Characterized by the norm: Find lists \mathbf{a} with

$$\ell(\mathbf{a}) \text{ odd, } s(\mathbf{a}) = 0, \text{ and, } N(\mathbf{a}) = 1/4.$$

Connection with integral factorial ratios

Associate to

$$\frac{(a_1 n)! \cdots (a_K n)!}{(b_1 n)! \cdots (b_L n)!}$$

the list

$$\alpha = [a_1, \dots, a_K, -b_1, \dots, -b_L], \quad \text{with } s(\alpha) = 0.$$

Integrality of factorial ratio equivalent to:

$$\alpha(x) \in \{-D/2, -D/2 + 1, \dots, D/2\}.$$

Especially nice for $D = 1$: $\alpha(x) = -1/2$ or $1/2$.

Characterized by the norm: Find lists α with

$$\ell(\alpha) \text{ odd, } s(\alpha) = 0, \text{ and, } N(\alpha) = 1/4.$$

For larger D , the norm alone is not sufficient.

Necessary condition for integrality:

$$N(\alpha) \leq D^2/4.$$

Results

Idea: obtain lower bounds for the norms of lists.

$$G(N) = \inf\{N(\mathfrak{a}) : \mathfrak{a} \text{ primitive, non-degenerate list of length } N\}.$$

Theorem:

$$G(N) \sim \frac{\pi^2 e^{-2\gamma}}{72} \frac{N}{(\log \log N)^2}$$

Results

Idea: obtain lower bounds for the norms of lists.

$$G(N) = \inf\{N(\mathfrak{a}) : \mathfrak{a} \text{ primitive, non-degenerate list of length } N\}.$$

Theorem:

$$G(N) \sim \frac{\pi^2 e^{-2\gamma}}{72} \frac{N}{(\log \log N)^2}$$

Explicitly:

$$G(N) \geq \frac{N}{12} \prod_{j=1}^m \left(\frac{p_j - 1}{p_j + 1} \right), \quad (2^m \leq N < 2^{m+1})$$

Results

Idea: obtain lower bounds for the norms of lists.

$$G(N) = \inf\{N(\alpha) : \alpha \text{ primitive, non-degenerate list of length } N\}.$$

Theorem:

$$G(N) \sim \frac{\pi^2 e^{-2\gamma}}{72} \frac{N}{(\log \log N)^2}$$

Explicitly:

$$G(N) \geq \frac{N}{12} \prod_{j=1}^m \left(\frac{p_j - 1}{p_j + 1} \right), \quad (2^m \leq N < 2^{m+1})$$

Corollary: When $D = 1$, no factorial ratios with $K + L \geq 11$.

When $D = 2$, no factorial ratios with $K + L \geq 82$, and only finitely many factorial ratios with $K + L \geq 76$.

Refines work of Bell & Bober ($D = 1$ bound 112371), and Schmerling ($D = 1$ bound 43; $D = 2$, bound 202).

Corollary: For large D ,

$$K + L \leq (1 + o(1)) \frac{18e^{2\gamma}}{\pi^2} D^2 (\log \log D)^2.$$

Refines Bell & Bober (Bombieri & Bourgain, unpublished):

$$K + L \ll D^2 (\log D)^2.$$

Schmerling: $K + L \ll D^2 (\log \log D)^2$.

Truth: Maybe linear in D ??

Corollary: For large D ,

$$K + L \leq (1 + o(1)) \frac{18e^{2\gamma}}{\pi^2} D^2 (\log \log D)^2.$$

Refines Bell & Bober (Bombieri & Bourgain, unpublished):

$$K + L \ll D^2 (\log D)^2.$$

Schmerling: $K + L \ll D^2 (\log \log D)^2$.

Truth: Maybe linear in D ??

Theorem: The points $(a_1, \dots, a_K, b_1, \dots, b_L) \in \mathbb{R}^{K+L}$ corresponding to integral factorial ratios lie on finitely many vector subspaces of \mathbb{R}^{K+L} of dimension at most $3D^2 - 1$.

At most $3D^2 - 1$ parameter families of integral factorial ratios.

Sharp for $D = 1$. At most 11 parameter families for $D = 2$.

No known non-trivial examples of 4 parameter families with $D = 2$.

Right answer: at most $2D$ parameter families??

Partial progress & Examples for $D \geq 2$

Trivial way to construct factorial ratios with height 2: multiply two height 1 examples.

Definition: Factorial ratio α of height D is reducible if $\alpha = \mathfrak{b} + \mathfrak{c}$ where \mathfrak{b} and \mathfrak{c} correspond to integral factorial ratios of smaller height. Otherwise, α is called irreducible.

Partial progress & Examples for $D \geq 2$

Trivial way to construct factorial ratios with height 2: multiply two height 1 examples.

Definition: Factorial ratio α of height D is reducible if $\alpha = \beta + \gamma$ where β and γ correspond to integral factorial ratios of smaller height. Otherwise, α is called irreducible.

Examples: 1. Multinomial coefficients are reducible

$$[a+b+c, -a, -b, -c] = [a+b+c, -(a+b), -c] + [a+b, -a, -b].$$

2. $b > 7a > 0$

$$\begin{aligned} [3a, -a, -9a, 2b, -b, 7a - b] &\text{ is reducible} \\ &= a[3, 14, -1, -7, -9] + [7a, 2b, -14a, -b, 7a - b]. \end{aligned}$$

Can be tricky to see if reducible or not!

3. **Wider:** Masters thesis ETH

$$[3a, 3b, -a, -b, -(a+b), -(a+b)]$$

is an irreducible integral factorial ratio.

Theorem: α primitive list with height 2, $s(\alpha) = 0$ and

$$N(\alpha) \leq 1/3 + \delta.$$

Apart from finitely many lists, α lies in one of 28 families — 2 three parameter families, and the rest 2 parameter.

16 of these families are reducible, and the remaining 12 are irreducible.

All of these families give examples of integral factorial ratios.

Theorem: a primitive list with height 2, $s(\mathbf{a}) = 0$ and

$$N(\mathbf{a}) \leq 1/3 + \delta.$$

Apart from finitely many lists, \mathbf{a} lies in one of 28 families — 2 three parameter families, and the rest 2 parameter.

16 of these families are reducible, and the remaining 12 are irreducible.

All of these families give examples of integral factorial ratios.

Significance of $1/3$: approximately the norm of a generic multinomial $[a + b + c, -a, -b, -c]$.

Possibly every list with height 2, and norm below $1/3$ gives an integral factorial ratio. Explanation?

Examples: $[2a, 2b, 6(a + b), -a, -4a, -b, -4b, -3(a + b)]$,
 $[6a, 2b, 3b, -2a, -3a, -b, -6b, 2b - a]$.

Non-example: $[3a, 18a, -a, -9a, -b, -11a + b]$. Typical norm:
 $37/108 = 0.34259 \dots$

Definition: A list $\mathfrak{b} = [b_1, \dots, b_k]$ is monotone if

$$\sum_{i=1}^k [b_i x] \text{ is monotone.}$$

Examples: $[1, -k]$, $[1, -2, -3]$, $[1, -2, -k, 2k]$ (for odd k),
 $[1, -2, k]$ (for even k) are all monotone.

Definition: A list $\mathfrak{b} = [b_1, \dots, b_k]$ is monotone if

$$\sum_{i=1}^k [b_i x] \text{ is monotone.}$$

Examples: $[1, -k]$, $[1, -2, -3]$, $[1, -2, -k, 2k]$ (for odd k),
 $[1, -2, k]$ (for even k) are all monotone.

Theorem: \mathfrak{a} and \mathfrak{b} primitive lists.

\mathfrak{b} monotone. $s(\mathfrak{a})$, $s(\mathfrak{b})$ non-zero and coprime.

Suppose $s(\mathfrak{b})\mathfrak{a} + (-s(\mathfrak{a}))\mathfrak{b}$ is an integral factorial ratio of height D .
Then, lists of height $D + 1$ in the family

$$a\mathfrak{a} + b\mathfrak{b} + (-as(\mathfrak{a}) - bs(\mathfrak{b}))[1]$$

are integral factorial ratios.

Example: $\mathfrak{a} = [6, -2, -3]$, $\mathfrak{b} = [6, -1]$.

$s(\mathfrak{a}) = 1$, $s(\mathfrak{b}) = 5$; $5s(\mathfrak{a}) - \mathfrak{b} = [30, 1, -6, -10, -15]$.

$[6a, -2a, -3a, b, -6b, -(a - 5b)]$ integral factorial ratio height 2.

Found 50+ **irreducible** two parameter families with height 2.

Many more 2 parameter examples found by Rodrigo Angelo.

E.g. All but one of the 52 sporadic examples of height 1 extend to irreducible 2 parameter families of height 2.

Angelo: example of length 18

$$\begin{array}{r} 48, 28, 22, 19, 18, 8, 3, 2 \\ \hline 38, 25, 24, 16, 14, 11, 9, 6, 4, 1 \end{array}$$

Found 50+ **irreducible** two parameter families with height 2.

Many more 2 parameter examples found by Rodrigo Angelo.

E.g. All but one of the 52 sporadic examples of height 1 extend to irreducible 2 parameter families of height 2.

Angelo: example of length 18

$$\frac{48, 28, 22, 19, 18, 8, 3, 2}{38, 25, 24, 16, 14, 11, 9, 6, 4, 1}$$

Askey (1986): Advanced *Monthly* problem:

$$\frac{(3m + 3n), 3n, 2m, 2n}{(2m + 3n), (m + 2n), (m + n), m, n, n}$$

Found 50+ **irreducible** two parameter families with height 2.

Many more 2 parameter examples found by Rodrigo Angelo.

E.g. All but one of the 52 sporadic examples of height 1 extend to irreducible 2 parameter families of height 2.

Angelo: example of length 18

$$\frac{48, 28, 22, 19, 18, 8, 3, 2}{38, 25, 24, 16, 14, 11, 9, 6, 4, 1}$$

Askey (1986): Advanced *Monthly* problem:

$$\frac{(3m + 3n), 3n, 2m, 2n}{(2m + 3n), (m + 2n), (m + n), m, n, n}$$

Patruno: variations of this “advanced” problem seem to appear endlessly ... The following should put this tired old problem to rest.

Case of Macdonald–Morris constant term conjectures. ([Zeilberger](#))

Number of examples of integral factorial ratios (related also to Selberg’s integral).

More examples (with combinatorial proofs) by Gessel.

The key idea: k -separated lists

Theorem (weak form): Apart from the three infinite families, there are only finitely many integral factorial ratios with height 1.

The key idea: k -separated lists

Theorem (weak form): Apart from the three infinite families, there are only finitely many integral factorial ratios with height 1.

Key Definition: Primitive list \mathfrak{a} of length N , and integer $k \geq 2$.

\mathfrak{a} is k -separated of type (ℓ, m) if there are two primitive lists \mathfrak{b} and \mathfrak{c} with lengths $1 \leq \ell \leq m$ and $\ell + m = N$ such that:

1. There are two coprime integers B and C such that

$$\mathfrak{a} = B\mathfrak{b} + C\mathfrak{c} \quad (\text{concatenate the two lists}).$$

The key idea: k -separated lists

Theorem (weak form): Apart from the three infinite families, there are only finitely many integral factorial ratios with height 1.

Key Definition: Primitive list \mathfrak{a} of length N , and integer $k \geq 2$.

\mathfrak{a} is **k -separated of type (ℓ, m)** if there are two primitive lists \mathfrak{b} and \mathfrak{c} with lengths $1 \leq \ell \leq m$ and $\ell + m = N$ such that:

1. There are two coprime integers B and C such that

$$\mathfrak{a} = B\mathfrak{b} + C\mathfrak{c} \quad (\text{concatenate the two lists}).$$

2. Exactly one of B or C is a multiple of k (other is coprime to k).

The key idea: k -separated lists

Theorem (weak form): Apart from the three infinite families, there are only finitely many integral factorial ratios with height 1.

Key Definition: Primitive list \mathfrak{a} of length N , and integer $k \geq 2$.

\mathfrak{a} is **k -separated of type (ℓ, m)** if there are two primitive lists \mathfrak{b} and \mathfrak{c} with lengths $1 \leq \ell \leq m$ and $\ell + m = N$ such that:

1. There are two coprime integers B and C such that

$$\mathfrak{a} = B\mathfrak{b} + C\mathfrak{c} \quad (\text{concatenate the two lists}).$$

2. Exactly one of B or C is a multiple of k (other is coprime to k).
3. Say $k|B$ (analogously if $k|C$). Then for any $kb \in B\mathfrak{b}$ and any $c \in C\mathfrak{c}$ one has

$$(kb, c) = (b, c).$$

The key idea: k -separated lists

Theorem (weak form): Apart from the three infinite families, there are only finitely many integral factorial ratios with height 1.

Key Definition: Primitive list \mathfrak{a} of length N , and integer $k \geq 2$.

\mathfrak{a} is **k -separated of type (ℓ, m)** if there are two primitive lists \mathfrak{b} and \mathfrak{c} with lengths $1 \leq \ell \leq m$ and $\ell + m = N$ such that:

1. There are two coprime integers B and C such that

$$\mathfrak{a} = B\mathfrak{b} + C\mathfrak{c} \quad (\text{concatenate the two lists}).$$

2. Exactly one of B or C is a multiple of k (other is coprime to k).

3. Say $k|B$ (analogously if $k|C$). Then for any $kb \in B\mathfrak{b}$ and any $c \in C\mathfrak{c}$ one has

$$(kb, c) = (b, c).$$

Examples: (1) $[30, 1, -15, -10, -6] = 5 \times [6, -3, -2] + [1, -6]$

(2) $[1, -2p, 2p^2] = [1, -2p] + 2p^2[1] = [1] + (-2p)[1, -p]$

(3) Any primitive list containing a multiple of p is p -separated.

Finiteness of lists that are at most k -separated

If α (primitive of length N) contains a multiple of $p^{(r-1)(N-1)+1}$ then α is p^r -separated.

Finiteness of lists that are at most k -separated

If α (primitive of length N) contains a multiple of $p^{(r-1)(N-1)+1}$ then α is p^r -separated.

Proof: Look at the powers of p dividing elements of α .

By hypothesis & pigeonhole, this sequence of exponents contains a gap $\geq r$.

I.e. α contains two elements a_1 and a_2 with $p^{e_i} \parallel a_i$ and $e_2 \geq e_1 + r$, and no element in α contains a power of p between e_1 and e_2 .

Split α into the multiples of p^{e_2} and the non-multiples of p^{e_2} .



Finiteness of lists that are at most k -separated

If α (primitive of length N) contains a multiple of $p^{(r-1)(N-1)+1}$ then α is p^r -separated.

Proof: Look at the powers of p dividing elements of α .

By hypothesis & pigeonhole, this sequence of exponents contains a gap $\geq r$.

I.e. α contains two elements a_1 and a_2 with $p^{e_i} \parallel a_i$ and $e_2 \geq e_1 + r$, and no element in α contains a power of p between e_1 and e_2 .

Split α into the multiples of p^{e_2} and the non-multiples of p^{e_2} .

□

Given N and k , there are only finitely many primitive lists of length N that are at most k -separated.

For example, if α is at most 4-separated, then the elements of α are divisors of

$$2^{2(N-1)} \times 3^{N-1}.$$

Computing norms of a k -separated list

Suppose \mathbf{a} is k -separated: $\mathbf{a} = B\mathbf{b} + C\mathbf{c}$, and put

$$\tilde{\mathbf{b}} = \frac{B}{(B, k)}\mathbf{b}, \quad \tilde{\mathbf{c}} = \frac{C}{(C, k)}\mathbf{c}; \quad (\text{so } \mathbf{a} = k\tilde{\mathbf{b}} + \tilde{\mathbf{c}}, \text{ or } \tilde{\mathbf{b}} + k\tilde{\mathbf{c}}).$$

Then

$$N(\mathbf{a}) = \left(1 - \frac{1}{k}\right)(N(\mathbf{b}) + N(\mathbf{c})) + \frac{1}{k}N(\tilde{\mathbf{b}} + \tilde{\mathbf{c}}).$$

Note: $\tilde{\mathbf{b}} + \tilde{\mathbf{c}}$ has length $\geq |\ell(\mathbf{b}) - \ell(\mathbf{c})|$ and has same parity as N .

Computing norms of a k -separated list

Suppose \mathbf{a} is k -separated: $\mathbf{a} = B\mathbf{b} + C\mathbf{c}$, and put

$$\tilde{\mathbf{b}} = \frac{B}{(B, k)}\mathbf{b}, \quad \tilde{\mathbf{c}} = \frac{C}{(C, k)}\mathbf{c}; \quad (\text{so } \mathbf{a} = k\tilde{\mathbf{b}} + \tilde{\mathbf{c}}, \text{ or } \tilde{\mathbf{b}} + k\tilde{\mathbf{c}}).$$

Then

$$N(\mathbf{a}) = \left(1 - \frac{1}{k}\right)(N(\mathbf{b}) + N(\mathbf{c})) + \frac{1}{k}N(\tilde{\mathbf{b}} + \tilde{\mathbf{c}}).$$

Note: $\tilde{\mathbf{b}} + \tilde{\mathbf{c}}$ has length $\geq |\ell(\mathbf{b}) - \ell(\mathbf{c})|$ and has same parity as N .

Proof: Say $\mathbf{a} = k\tilde{\mathbf{b}} + \tilde{\mathbf{c}}$. Note $N(k\tilde{\mathbf{b}}) = N(\tilde{\mathbf{b}}) = N(\mathbf{b})$.

$$\begin{aligned} N(\mathbf{a}) &= N(\mathbf{b}) + N(\mathbf{c}) + 2 \sum_{\substack{kb \in k\tilde{\mathbf{b}} \\ c \in \tilde{\mathbf{c}}}} \frac{(kb, c)^2}{12kbc} = N(\mathbf{b}) + N(\mathbf{c}) + \frac{2}{k} \sum_{\substack{b \in \tilde{\mathbf{b}} \\ c \in \tilde{\mathbf{c}}}} \frac{(b, c)^2}{12bc} \\ &= N(\mathbf{b}) + N(\mathbf{c}) + \frac{2}{k} \int_0^1 \tilde{\mathbf{b}}(x)\tilde{\mathbf{c}}(x)dx. \end{aligned}$$

Lists with small length: examples

Length 1: [1] with norm $1/12$.

Lists with small length: examples

Length 1: $[1]$ with norm $1/12$.

Length 2: $N([a, b]) = \frac{1}{6}(1 + \frac{1}{ab})$ ($a, b = 1$)

Examples:

$N([1, -2]) = 1/12$, $N([1, -3]) = 1/9$, $N([1, -4]) = 1/8$, ...

Limit point $1/6$.

Lists with small length: examples

Length 1: $[1]$ with norm $1/12$.

Length 2: $N([a, b]) = \frac{1}{6}(1 + \frac{1}{ab})$ $(a, b) = 1$

Examples:

$N([1, -2]) = 1/12$, $N([1, -3]) = 1/9$, $N([1, -4]) = 1/8$, ...

Limit point $1/6$.

Length 3: Family $[a, -2a, b]$ with $(a, b) = 1$.

Smallest norm $1/8$ for $[1, -2, 4]$; next smallest

$N([1, -2, -3]) = N([2, 3, -6]) = N([1, -2, 6]) = N([1, -3, 6]) = 5/36$

Limit point in this family $1/6 = 1/12 + 1/12$.

Next limit point (not of this family): $1/9 + 1/12 = 7/36$.

Lists with small length: examples

Length 1: $[1]$ with norm $1/12$.

Length 2: $N([a, b]) = \frac{1}{6}(1 + \frac{1}{ab})$ ($a, b) = 1$

Examples:

$N([1, -2]) = 1/12$, $N([1, -3]) = 1/9$, $N([1, -4]) = 1/8$, ...

Limit point $1/6$.

Length 3: Family $[a, -2a, b]$ with $(a, b) = 1$.

Smallest norm $1/8$ for $[1, -2, 4]$; next smallest

$N([1, -2, -3]) = N([2, 3, -6]) = N([1, -2, 6]) = N([1, -3, 6]) = 5/36$

Limit point in this family $1/6 = 1/12 + 1/12$.

Next limit point (not of this family): $1/9 + 1/12 = 7/36$.

Length 4: Smallest norm $1/9$ attained for $[1, -2, -3, 6]$.

Lists $[a, -2a, b, -2b]$ give limit point $1/6$.

Next limit point: $1/9 + 1/12 = 7/36$ (family $[a, -2a, b, -3b]$)

Summary of lists with small norm

\mathfrak{a} primitive list.

$N(\mathfrak{a}) \geq 31/180 = 1/6 + 1/180$ except for following cases:

$$\mathfrak{a} = [1], [a, b], [a, -2a, b], [a, -2a, b, -2b]$$

$$\text{Norm } \frac{17}{108} : [1, -3, 9], [1, -2, -3, 6, 9, -18]$$

$$\begin{aligned} \text{Norm } \frac{1}{6} : [1, -3, -4], [3, 4, -12], [1, -3, 6, -12], [1, -3, -4, 6], \\ [1, -3, -4, 12], [1, -2, 4, -12], [1, -2, -3, 4], [1, -2, -3, 12], \\ [1, -4, -6, 12], [2, -3, -4, 12], [3, -4, -6, 12], [1, -2, -3, 4, 6], \\ [1, -2, -3, 6, -12], [2, 3, -4, -6, 12], [1, -2, 4, 6, -12], \\ [1, -2, -3, 4, 6, -12]. \end{aligned}$$

Finiteness of integral factorial ratios of height 1

Goal: Primitive \mathfrak{a} with $s(\mathfrak{a}) = 0$, $\ell(\mathfrak{a})$ odd, and $N(\mathfrak{a}) = 1/4$.

From bounds for $G(N)$ reduced to $\ell(\mathfrak{a}) = 3, 5, 7, 9$.

$\ell(\mathfrak{a}) = 3$ — binomial coefficient $[a + b, -a, -b]$.

Finiteness of integral factorial ratios of height 1

Goal: Primitive \mathfrak{a} with $s(\mathfrak{a}) = 0$, $\ell(\mathfrak{a})$ odd, and $N(\mathfrak{a}) = 1/4$.

From bounds for $G(N)$ reduced to $\ell(\mathfrak{a}) = 3, 5, 7, 9$.

$\ell(\mathfrak{a}) = 3$ — binomial coefficient $[a + b, -a, -b]$.

Only finitely many lists that are at most k -separated.

If $\mathfrak{a} = B\mathfrak{b} + C\mathfrak{c}$ is k -separated with \mathfrak{b} and \mathfrak{c} primitive:

$$s(\mathfrak{a}) = Bs(\mathfrak{b}) + Cs(\mathfrak{c}) = 0.$$

B and C are coprime. So, uniquely determined by $s(\mathfrak{b})$, $s(\mathfrak{c})$.

Finiteness of integral factorial ratios of height 1

Goal: Primitive \mathfrak{a} with $s(\mathfrak{a}) = 0$, $\ell(\mathfrak{a})$ odd, and $N(\mathfrak{a}) = 1/4$.

From bounds for $G(N)$ reduced to $\ell(\mathfrak{a}) = 3, 5, 7, 9$.

$\ell(\mathfrak{a}) = 3$ — binomial coefficient $[a + b, -a, -b]$.

Only finitely many lists that are at most k -separated.

If $\mathfrak{a} = B\mathfrak{b} + C\mathfrak{c}$ is k -separated with \mathfrak{b} and \mathfrak{c} primitive:

$$s(\mathfrak{a}) = Bs(\mathfrak{b}) + Cs(\mathfrak{c}) = 0.$$

B and C are coprime. So, uniquely determined by $s(\mathfrak{b})$, $s(\mathfrak{c})$.

Small issue: What if $s(\mathfrak{b}) = s(\mathfrak{c}) = 0$?

Either \mathfrak{b} or \mathfrak{c} has odd length, and that list has norm $\geq 1/4$.

Finiteness of integral factorial ratios of height 1

Goal: Primitive \mathfrak{a} with $s(\mathfrak{a}) = 0$, $\ell(\mathfrak{a})$ odd, and $N(\mathfrak{a}) = 1/4$.

From bounds for $G(N)$ reduced to $\ell(\mathfrak{a}) = 3, 5, 7, 9$.

$\ell(\mathfrak{a}) = 3$ — binomial coefficient $[a + b, -a, -b]$.

Only finitely many lists that are at most k -separated.

If $\mathfrak{a} = B\mathfrak{b} + C\mathfrak{c}$ is k -separated with \mathfrak{b} and \mathfrak{c} primitive:

$$s(\mathfrak{a}) = Bs(\mathfrak{b}) + Cs(\mathfrak{c}) = 0.$$

B and C are coprime. So, uniquely determined by $s(\mathfrak{b})$, $s(\mathfrak{c})$.

Small issue: What if $s(\mathfrak{b}) = s(\mathfrak{c}) = 0$?

Either \mathfrak{b} or \mathfrak{c} has odd length, and that list has norm $\geq 1/4$.

Conclusion: Can assume \mathfrak{a} is k -separated with k large.

If it decomposes into the primitive lists \mathfrak{b} , \mathfrak{c} , then \mathfrak{a} is uniquely determined by these lists.

$$N(\mathfrak{a}) \geq \left(1 - \frac{1}{k}\right)(N(\mathfrak{b}) + N(\mathfrak{c})).$$

Recall: $[1]$, $[1, -2]$ have norm $1/12$.

All other lists have norm $\geq 1/9$.

Only finitely many lists with norm $< 31/180$ except in the families $[a, b]$, $[a, -2a, b]$, $[a, -2a, b, -2b]$ which have limit point $1/6$.

Recall: $[1]$, $[1, -2]$ have norm $1/12$.

All other lists have norm $\geq 1/9$.

Only finitely many lists with norm $< 31/180$ except in the families $[a, b]$, $[a, -2a, b]$, $[a, -2a, b, -2b]$ which have limit point $1/6$.

[Back to the proof](#): $N(\mathbf{a}) \geq (1 - 1/k)(N(\mathbf{b}) + N(\mathbf{c}))$.

Assume $N(\mathbf{b}) \leq N(\mathbf{c})$.

Forced to have $N(\mathbf{b}) = 1/12$ (since $1/9 + 1/6 > 1/4$).

That is, $\mathbf{b} = [1]$ or $[1, -2]$.

Recall: $[1]$, $[1, -2]$ have norm $1/12$.

All other lists have norm $\geq 1/9$.

Only finitely many lists with norm $< 31/180$ except in the families $[a, b]$, $[a, -2a, b]$, $[a, -2a, b, -2b]$ which have limit point $1/6$.

Back to the proof: $N(\mathbf{a}) \geq (1 - 1/k)(N(\mathbf{b}) + N(\mathbf{c}))$.

Assume $N(\mathbf{b}) \leq N(\mathbf{c})$.

Forced to have $N(\mathbf{b}) = 1/12$ (since $1/9 + 1/6 > 1/4$).

That is, $\mathbf{b} = [1]$ or $[1, -2]$.

Must have $N(\mathbf{c}) \leq 1/6 + \epsilon$.

Apart from finitely many cases, must have

$$\mathbf{c} = [a, b], [a, -2a, b], [a, -2a, b, -2b].$$

Recall: $[1]$, $[1, -2]$ have norm $1/12$.

All other lists have norm $\geq 1/9$.

Only finitely many lists with norm $< 31/180$ except in the families $[a, b]$, $[a, -2a, b]$, $[a, -2a, b, -2b]$ which have limit point $1/6$.

Back to the proof: $N(\mathbf{a}) \geq (1 - 1/k)(N(\mathbf{b}) + N(\mathbf{c}))$.

Assume $N(\mathbf{b}) \leq N(\mathbf{c})$.

Forced to have $N(\mathbf{b}) = 1/12$ (since $1/9 + 1/6 > 1/4$).

That is, $\mathbf{b} = [1]$ or $[1, -2]$.

Must have $N(\mathbf{c}) \leq 1/6 + \epsilon$.

Apart from finitely many cases, must have

$$\mathbf{c} = [a, b], [a, -2a, b], [a, -2a, b, -2b].$$

$\mathbf{b} = [1]$, $\mathbf{c} = [a, b]$ — binomial coefficient

$$\mathbf{b} = [1, -2], \mathbf{c} = [a, -2a, b]; \quad \mathbf{b} = [1], \mathbf{c} = [a, -2a, b, -2b]$$

both lead to the family $[2a, -a, 2b, -b, -(a + b)]$.