### Post-Quantum Cryptography: an Overview

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### "Classical" Cryptography - An Example

• Let's consider an ubiquitous "classical" cryptosystem: RSA (Rivest-Shamir-Adleman).

Bob's Key Generation:

- Bob selects two different large primes p and q and calculates  $n := p \cdot q$ .
- He calculates  $\phi(n) = (p-1)(q-1)$  (Euler's totient function).
- He selects an integer e such that  $gcd(\phi(n), e) = 1$  and  $1 < e < \phi(n)$ .
- $\bullet\,$  He calculates d such that  $d\cdot e=1\,\mathrm{mod}\,\phi(n)$  via the Euclidean algorithm.
- $\bullet\,$  He publishes (e,n) as the public key and keeps (d,p,q) as the private key.

- Suppose Alice wants to send a message (plaintext) M < n to Bob.
- To encrypt it, she uses Bob's public key e and calculates  $C := M^e \mod n$  (ciphertext).
- Then, Alice sends C to Bob.
- Bob can recover M decrypting C with his private key d:  $C^d = (M^e)^d = M \mod n.$
- Bob's decryption is successful thanks to Fermat's little theorem and the Chinese remainder theorem.

- RSA's security is based on the computational hardness of finding the factorization of n (namely p and p).
- In particular, we don't know how to factor n in polynomial time with a classical algorithm.
- But...
- ...there exists a quantum computer algorithm that allows us to calculate p and q in polynomial time!

#### Shor's Algorithm (1994)

It uses quantum mechanics postulates such as entanglement and measure theory.

• A solution to this problem is finding new cryptosystems that aren't affected by the introduction of quantum algorithms.

 $\longrightarrow$  Post-Quantum Cryptography.

- It can be divided in five main areas:
- Lattice-Based Cryptography (CRYSTALS-Dilithium, CRYSTALS-Kyber, FALCON, NTRU).
- Isogeny-Based Cryptography (SIKE (broken), SIDH (broken), SQISign).
- Code-Based Cryptography (Classic McEliece, BIKE).
- Multivariate Cryptography (Unbalanced oil and vinegar, Rainbow (broken)).
- Hash-Based Cryptography (SPHINCS+).

- Some of the most promising cryptosystems that are thought to be unvulnerable against quantum attacks are in this category, and have already been selected by NIST.
- Lattice-Based Cryptography is based on the hardness of solving problems within the context of lattices.

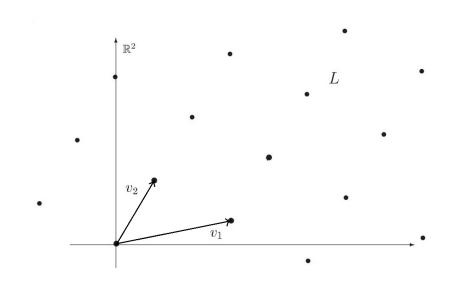
### Definition (Lattice)

A <u>lattice</u> L in  $\mathbb{R}^n$  is a discrete additive subgroup of  $\mathbb{R}^n$  of full rank, or, equivalently, is the set of linear combinations with coefficients in  $\mathbb{Z}$  of a basis of  $\mathbb{R}^n v_1, \ldots, v_n$ :

$$L := \left\{ \sum_{i=1}^{n} a_i v_i \mid a_1, \dots, a_n \in \mathbb{Z} \right\}.$$

•  $v_1, \ldots, v_n$  is the basis for the lattice L.

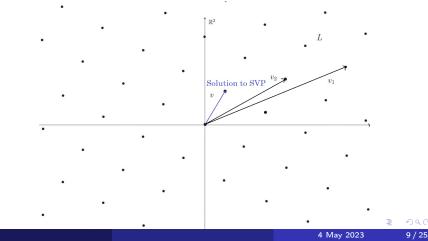
## A Lattice in $\mathbb{R}^2$ with basis $v_1, v_2$



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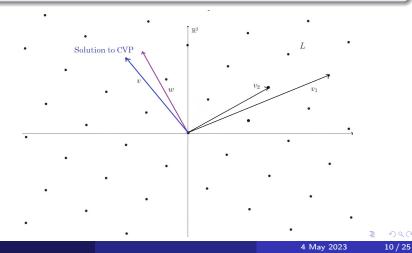
#### Definition (Shortest Vector Problem)

Given a Lattice L in a basis  $v_1, \ldots, v_n$  find its shortest non-zero vector, namely  $0 \neq v \in L$  such that ||v|| is the smallest possible.



#### Definition (Closest Vector Problem)

Given a Lattice L in a basis  $v_1, \ldots, v_n$  and a vector  $w \in \mathbb{R}^n$ , find the vector in L closest to w.



• CRYSTALS-Dilithium is a NIST-standardized (2022) signature scheme based on the hardness of these lattice problems.



 It was developed by Léo Ducas, Eike Kiltz, Tancrède Lepoint, Vadim Lyubashevsky, Peter Schwabe, Gregor Seiler and Damien Stehlé in 2017.

### CRYSTRALS-Dilithium (No *pk* Compression)

Key generation, $S$	Signing, $S,  sk,  m$	
1: $A \leftarrow M_{k,l}(R_q);$	1: $y \leftarrow R_{q(\gamma_1-1)}^l;$	
2: $(s_1, s_2) \leftarrow R_{q(\eta)}^{l} \mathbf{X} R_{q(\eta)}^{k};$	2: $w_1 := \text{HighBits}(Ay, 2\gamma_2);$	
$3: t := As_1 + s_2;$	$3:  c := H(m, w_1);$	
4: $pk := (A, t), sk := (s_1, s_2).$	$4:  z := y + c \cdot s_1;$	
	$5:  {\rm if} \   z  _{\infty} \geq \gamma_1 - \beta \ {\rm or} \   \operatorname{LowBits}(Ay - c \cdot s_2, 2\gamma_2)  _{\infty} \geq \gamma_2 - \beta \ {\rm then} \ ;$	
	6: restart from 1;	
$R_q = \left\{ f = \sum_{i=0}^{n-1} a_i X^i \mid a_i \in \mathbb{Z}_q \ \forall i = 0,, n-1 \right\}$	7: endif;	
$R_{q_{\{\gamma\}}}:=\{f\in R_q~ ~  f  _\infty\leq\gamma\}$	8: return $\sigma := (z, c)$ .	
	Verifying, $V, pk, m, \sigma$	
	1: $w'_1 := \text{HighBits}(Az - c \cdot t, 2\gamma_2);$	
	2: if $  z  _{\infty} \ge \gamma_1 - \beta$ and $c == H(m, w'_1)$ then ;	
	3: accept;	
	4: <b>else</b> ;	
	5: reject.	

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### Isogeny-Based Cryptography

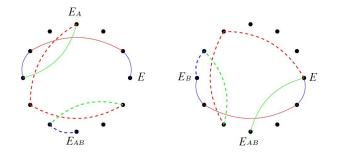
- Although some cryptosystems in this category have been broken (SIKE, SIDH) the underlying difficult problem is still hard and, to me, is the most fascinating.
- This hard problem consist in finding a path of isogenies of certain degrees between two known elliptic curves.

#### Definition (Isogeny)

Given two elliptic curves  $E_1, E_2$  over a field K an isogeny over K between  $E_1$  and  $E_2$  is a surjective morphism of curves  $\varphi : E_1 \longrightarrow E_2$  which is also a group homomorphism.

• The basic example of these types of crystosystems is the Rostovtsev-Stolbunov key-exchange (2006) (which is the Diffie-Hellman key-exchange for elliptic curves).

### Rostovtsev-Stolbunov key-exchange



Public parameters	An elliptic curve $E$ on a finite field $\mathbb{F}_q$ ,		
	$D_\pi$ , the discriminant of the Frobenius endomorphism of $E$ ,		
	A set of primes $L=\{l_1,\ldots,l_m\}$ such that $\left(rac{D\pi}{l_i} ight)=1$ , An eigenvalue of the Frobenius $\lambda_i$ for all $l_i$		
Protocol	Alice	Bob	
Selection of the secret path	$\rho_A \in L^*$	$\rho_B \in L^*$	
Computation of the public curve	$E_A = \rho_A(E)$	$E_B = \rho_B(E)$	
Exchange of the curves		$E_A \rightarrow \leftarrow E_B$	
Computation of the common secret curve	$E_{AB} = \rho_A(E_B)$	$E_{AB} = \rho_B(E_A)$	

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### Rostovtsev-Stolbunov key-exchange and SIDH

- Even if other systems have been successfully attacked, this key exchange is still secure.
- However, it is not used because its running time is in the order of seconds, so it is not practical for everyday use.
- SIDH, which stands for Supersingular Isogeny Diffie-Hellman, is an evolution of this scheme, which relies on more interesting mathematical structure (Isogeny Volcanoes! (see Silvia Sconza Master Thesis)).
- Unfortunately, SIDH was broken in 2022 by an attack of Wouter Castryck and Thomas Decru, which was generalized by Luciano Maino and Chloe Martindale.

- Some other NIST candidates are in this category.
- These cryptosystems are based on the hardness of decoding a general linear code.

#### Definition

A linear code of length n and dimension k is a linear subspace C with dimension k of the vector space  $\mathbb{F}_q^n$  where  $\mathbb{F}_q$  is the finite field with q elements.

• An example of such a scheme is the McEliece Cryptosystem.

Key generation:

- The principle is that Alice chooses a linear code C from some family of codes for which she knows an efficient decoding algorithm, and to make C public knowledge but keep the decoding algorithm secret.
- Alice selects a binary (n, k) linear code C capable of (efficiently) correcting t errors from some large family of codes.
- This choice should give rise to an efficient decoding algorithm A.
- Let also G be any generator matrix for C.
- Alice selects a random  $k \times k$  binary invertible matrix S.
- Alice selects a random  $n \times n$  permutation matrix P.
- Alice computes the  $k \times n$  matrix  $\hat{G} = SGP$ .
- Alice's public key is  $(\hat{G}, t)$ . The secret key is (S, P, A).

Message Encryption:

- Bob wants to send a message m to Alice, whose public key is  $(\hat{G}, t)$ .
- Bob encodes the message m as a binary string of length k.
- Bob computes the vector  $c' = m\hat{G}$ .
- Bob generates a random n bit vector z containing exactly t ones.
- Bob computes the ciphertext as c = c' + z, and sends it to Alice.

Message Decryption:

• After having received c, Alice decrypts the message in the folloing way.

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- Alice computes the inverses of P and S ⋅ G (i.e P<sup>-1</sup> and the right inverse (S ⋅ G)<sup>-1</sup>).
- Alice computes  $\hat{c} = cP^{-1}$ .
- Alice uses the decoding algorithm A to decode  $\hat{c}$  to  $\hat{m}$ .
- Alice computes  $m = \hat{m}(S \cdot G)^{-1}$ .

The Code-Based NIST Round 4 Submissions are:

- BIKE (Bit Flipping Key Encapsulation).
- Classic McEliece (which is based on the algorithm presented before).

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• HQC (Hamming Quasi-Cyclic).

- The hardness of these cryptosystems is based on the hardness of the following problem.
- Given a finite field of q elements  $\mathbb{F}_q$  and m quadratic polynomials  $p_1, \ldots, p_m \in \mathbb{F}_q[X_1, \ldots, X_n]$  in n variables, find a solution  $(x_1, \ldots, x_n) \in \mathbb{F}_q^n$  of the system of equations

$$p_i(X_1, \ldots, X_n) = 0, \ i = 1, \ldots, m.$$

In a multivariate public key cryptosystem we have the following.

- The public key  $pk = (p_1, \ldots, p_m)$  consists of a *m*-tuple of quadratic polynomials  $p_1, \ldots, p_m \in \mathbb{F}_q[X_1, \ldots, X_n]$  in *n* variables.
- $\bullet$  The encryption function is the polynomial map  $E:\mathbb{F}_q^n\longrightarrow\mathbb{F}_q^m$  defined by

$$E(X_1,\ldots,X_n)=(p_1(X_1,\ldots,X_n),\ldots,p_m(X_1,\ldots,X_n)).$$

• The secret key consists of data on how  $p_1, \ldots, p_m$  have been generated (it depends on the cryptosystem) and makes possible to easily invert E using the decryption function.

- Direct attacks to these types of schemes mainly employ the calculation of Gröebner basis.
- The easisest algorithm to compute them is Buchberger's algorithm, but it is also the slowest.
- Many improved methods have been proposed, in particular the  $F_4$  and  $F_5$  algorithms, due to Faugére, and their many variations.

#### Eurocrypt 2023 - Lyon, France - Rump Session https://youtu.be/b\_AuzlaIxLs Credits: The Isogeny Club.

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# Thanks for your attention!

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