Introduction to Noncommutative Geometry in Physics

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DIMA

Dipartimento di Matematica

- Points **x** elements of a smooth (topological) manifold **M**.
- Tangent spaces at each points $T_x M$.
- Positive definite inner product g_x on $T_x M$ called metric tensor.

$$\rightarrow g_{\mu\nu} = g(\partial_{\mu}, \partial_{\nu})$$
 where $\partial_{\mu}, \partial_{\nu} \in TM$.

- Riemannian manifold $(M, g) \rightarrow$ infinitesimal length $ds^2 = g_{\mu\nu} dx^{\mu} dx^{\nu}$ with $dx^{\mu} \in T^*M$.
- The distance between two points x_1 and x_2 of M is $d(x_1, x_2) = \inf \int_{x_1}^{x_2} ds$.
- Observable (any physical quantity that can be measured) $\equiv f(x) \in C^{\infty}(M)$.
 - \rightarrow Partial differential equations for mechanics, locality principle...

\rightarrow Classical Mechanics.

Geometry and Physics

- Geometry in physics:
 - Geometry is a representation where the processes of reality are made intelligible.
 - It encodes mathematically what we know about spacetime.
 - Spacetime is a concept that comes from our observations of the world.
- Two ways to see geometry with respect to **observables**:
 - Experimental way: observables collected in $\mathcal{C}^{\infty}(M) \xrightarrow{deduction}$ geometry of spacetime (M, g).
 - Intuitive way: geometry of spacetime $(M, g) \xrightarrow{\text{then}}$ observables in $\mathcal{C}^{\infty}(M)$ defined on M.
 - \rightarrow Problem: Spacetime is not an **observable**.
- Useful generalisations of geometry in physics:
 - Euclidean geometry to Riemannian geometry: General Relativity.
 - Many arguments to generalise again our geometrical framework...

→ Good candidate: Noncommutative Geometry.

Quantum Mechanics (QM)

- Observables became operators ~ $\mathcal{C}^{\infty}(M)$ is **replaced by a noncommutative algebra** \mathcal{A} . \rightarrow Uncertainty principle.
- \mathcal{A} can be represented as acting on a Hilbert space \mathcal{H} .
- $a \in A$ represent a physical observable (position, momentum, spin..).
- *ψ* ∈ *H* represent the physical state whose evolution is given by Schrödinger equation.
 →New mechanics, superposition principle and entanglement.

Processes in $\mathcal{M} \rightarrow \mathbf{Processes in } \mathcal{H}$

• The theory is non deterministic (collapse of ψ during the measurement process).

 \rightarrow Expectation value of an observable a for a quantum state ψ is $\langle \psi, a \psi \rangle$.

The novelty in Quantum Mechanics (QM)

- **Observables:** functions *f* of Classical Mechanics (CM) \rightarrow operators *a* in an algebra *A*.
- \rightsquigarrow Non-commuting observables ([*a*, *b*] \neq 0).
- Physical states: Points in phase space \rightarrow elements in a Hilbert space \mathcal{H} .

→ Feeling of a new notion of space/geometry (to be discovered). (at least for phase space.)

 \rightsquigarrow How to extract information about an underlying space from the observables (\mathcal{A})?

Ground of the framework: a C^* -Algebra \mathcal{A} .

Starting from a C^* -Algebra $\mathcal{A} \rightarrow$ the link with Hilbert spaces:

Theorem (Gelfand-Naimark)

Every abstract C^* -algebra A is isometrically *-isomorphic to a concrete C^* -algebras of bounded operators on a Hilbert space H.

 $a \in \mathcal{A}$ a C^* -algebra \leftrightarrow bounded operator in $\mathcal{B}(\mathcal{H}) \equiv$ Observable in QM

 \rightsquigarrow Does $\mathcal A$ and $\mathcal H$ contain information on an underlying "space"?

The connection with Topology

Theorem (Gelfand-Naimark theorem (1943))

If a C^{*}-algebra is **commutative** then it is an algebra of continuous functions on some (locally compact, Hausdorff) topological space.

Gelfand transform: $\mathcal{A} \to C_0(\mathcal{M}(\mathcal{A}))$ $\mathcal{M}(\mathcal{A}) \equiv$ topological space

Algebra	Topology
Commutative C^* -algebra ${\mathcal A}$	Topological space M
Projectionless	Connectedness
Projection/Pure states	Point
Isomorphism	Homeomorphism
Unital	Compact
tensor product	Cartesian product

Figure: Equivalences between algebraic and topological properties.

~ What happens with noncommutative algebras?

Noncommutative Topology



C and NC: Commutative and NonCommutative.

 \rightsquigarrow How to obtain something like a (differential) geometry?

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Introduction to Noncommutative Geometry in Physics

Answer: Introduce a Differential Structure!

Differential Structure \rightarrow study of observable/function/operator's variations. Two main kinds of differential structures in NCG:

- Those resulting from variations in the algebra A: The derivation-based differential structures. Dubois-Violette, Kerner, Madore, Masson, Michor, 1988
- Those resulting from variations in the Hilbert space H: **The Spectral triples-based differential structures.** *Connes, Lott* ~ 1990

The differential structure will play the (equivalent) role of tangent spaces in geometry.

- \rightarrow Define a metric on it.
- \rightarrow Define differential forms on it...

Spectral Triples

Definition (Spectral triple)

A Spectral triple $(\mathcal{A}, \mathcal{H}, D)$ is the data of an involutive unital algebra \mathcal{A} represented by bounded operators on a Hilbert space \mathcal{H} , and of a self-adjoint operator D acting on \mathcal{H} such that the resolvent $(i + D^2)^{-1}$ is compact and that for any $a \in \mathcal{A}$, [D, a] is a bounded operator.

• Real (J) and Even (γ) Spectral triple (A, H, D, γ , J):

• J anti-unitary operator $\langle J\psi_1, J\psi_2 \rangle = \langle \psi_2, \psi_1 \rangle$:	• γ a \mathbb{Z}_2 -grading on $\mathcal{H}, \forall a \in \mathcal{A}$:
• $J^2 = \epsilon$	• $\gamma^2 = 1$
• $JD = \epsilon' DJ$	• $\gamma^{\dagger} = \gamma$
• $J\gamma = \epsilon^{\prime\prime}\gamma J$	• $\gamma D + D\gamma = 0$
• $\epsilon, \epsilon', \epsilon'' = \pm 1 \rightarrow$ define the KO dimension.	• $\gamma \pi(a) = \pi(a)\gamma$

- Commutant property: $[a, Jb^*J^{-1}] = 0.$
- First-order condition $[[D, a], Jb^*J^{-1}] = 0.$

Connes Reconstruction Theorem

Hint: The Dirac operator $\partial \equiv -i\gamma^{\mu}\partial_{\mu}$ embodies the metric properties of the manifold through the relation $\{\gamma^{\mu}, \gamma^{\nu}\} = 2g_{\mu\nu}\mathbb{1}_{2^{m}}$.

Theorem (Connes Reconstruction Theorem)

There is a one-to-one correspondence between commutative even real spectral triples

$$(\mathcal{A} = \mathcal{C}^{\infty}(\mathcal{M}), \ \mathcal{H} = L^{2}(\mathcal{M}, \mathcal{S}), \ D = \partial, \ J, \ \gamma)$$

(that respect the five conditions given in A. Connes (2008): On the spectral characterization of manifolds. (arXiv:0810.2088)), and smooth oriented compact Riemannian spin manifolds M.

 \rightarrow Study of **Riemannian spin manifolds** through spectral triples.

 \rightarrow Riemannian spin manifolds are important objects in physics.

 $\rightarrow \text{ The differential is } \delta(f)\psi = [-i\gamma^{\mu}\partial_{\mu}, f]\psi = -i\gamma^{\mu}\partial_{\mu}(f)\psi \qquad \text{ with } \qquad f \in \mathcal{C}^{\infty}(\mathcal{M})$

What does this mean for Physicists?

• We are now free to forget the idea of a Riemannian (spin) manifolds with points as being **the primary structure** on which functions can take values as a secondary object.

$$f \in \mathcal{A} \longrightarrow f(x) \longrightarrow \hat{x}(f) \equiv \mathcal{A} \longrightarrow \mathcal{C}^{\infty}(\mathcal{M}) \longrightarrow \mathcal{M}$$

- The algebra of function together with the Dirac operator and the Hilbert space of bi-spinors can be taken as first, and the Riemannian (spin) manifold as second deduction.
 - \rightarrow **Spectral description** of the Riemannian (spin) manifolds.
- This algebraic "view" permit to go beyond the classical geometrical (Riemannian) picture.

\rightsquigarrow What happens if we consider NC algebras $\mathcal{A}?$

Noncommutative Space

The matrix space:

- $(\mathcal{A}_F, \mathcal{H}_{\mathcal{A}_F}, D_{\mathcal{A}_F}, J_{\mathcal{A}_F}, \gamma_{\mathcal{A}_F})$
- Finite dimensional algebra $\mathcal{A}_F = \mathcal{M}_n(\mathbb{C})$
- $\psi \in \mathcal{H}_{\mathcal{A}_F} \equiv (\psi_1, \dots, \psi_n)$
- $D_{\mathcal{A}_F}$ is a matrix acting on elements of $\mathcal{H}_{\mathcal{A}_F}$.
- Derivation $\delta(a) = [D_{\mathcal{A}_F}, a]$ for $a \in \mathcal{A}_F$.
- Axioms of spectral triples.

→ Finite noncommutative space

Distance Formula in Noncommutative Geometry

- $\bullet~$ Points $\leftrightarrow~$ pure states through Gelfand Naimark theorem.
 - \rightarrow Distance between states.
- Taking two states ψ_1 and ψ_2 with $\psi_{(1,2)} : \mathcal{A} \to \mathbb{C}$, we can define the distance between these two states:

$$d(\psi_1, \psi_2) = \sup\{|\psi_1(a) - \psi_2(a)|; a \in \mathcal{A}, ||[D, a]|| \le 1\}$$

- Consider the commutative algebra $\mathcal{A} = \mathcal{C}^{\infty}(\mathcal{M})$, and the usual Dirac operator $D_{\mathcal{M}} = i\gamma^{\mu}\partial_{\mu}$.
 - \rightarrow Pure states \equiv points via Gelfand duality $\psi_x(f) = f(x)$ so that $\psi_{1,2} \rightarrow x_{1,2} \in M$.
 - \rightarrow We then we recover the usual distance corresponding to the metric *g* on *M*:

$$d_g(x_1, x_2) = \sup\{|f(x_1) - f(x_2)|; f \in \mathcal{C}^{\infty}(M), ||[D_M, f]|| \le 1\}.$$

Why NCG is interesting?

- Reformulation and generalization of the geometric framework.
 - \rightarrow Inspired by QM's formalism.
 - \rightarrow Complete reformulation of Riemannian geometry in NCG.
- New way to see geometry starting from what we can observe (The algebra of Observables).
- Quantum gravitation's problem: which variables to quantize?
 - \rightarrow NCG: start from the **observables** (of matter), which became quantized in QM.
 - \rightarrow The choice is given by physics (non ad-hoc one).
- The full geometrization of all forces in one framework was (almost) done in NCG. (does not include Lorentzian spaces)
- Mathematical naturalness...

What is a Gauge Field Theory (GFT)?

- **"Force**" is the concept that explain the **trajectories** of material objects.
 → The trajectories are determined by the forces the material object undergo.
- For fundamental particles (described by states ψ), trajectories are determined by the interference pattern of the particle's state ψ in space-time.

 \rightarrow The particle's trajectory is where $\psi\psi^{\dagger}$ is high.

- This interference pattern is derived from the knowledge of the **phase** $\theta(x)$ the physical state takes over all space i.e. $\psi(x) \propto \exp(i\theta(x))$.
- The evolution of the phase is given by the gauge field $A_{\mu}(x)$:

$$\hat{\psi}(\mathbf{x} + d\mathbf{x}^{\mu}) = \exp(i(e/\hbar)\mathbf{A}_{\mu}(\mathbf{x})d\mathbf{x}^{\mu})\psi(\mathbf{x})$$

\rightarrow The gauge field encode fundamental interactions/forces.

• General covariant derivative: $D_{\mu} = \partial_{\mu} + (ie/\hbar)A_{\mu}$.

What is a Gauge Field Theory (GFT)?

• Phase accumulation around an infinitesimal loop given by the square of sides (dx^{μ}, dx^{ν}) :

$$\hat{\psi}(x) = (1 + (ie/\hbar) \boldsymbol{F}_{\mu\nu} dx^{\mu} dx^{\nu}) \psi(x)$$

With $F_{\mu\nu} = (\partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu}) - i(e/\hbar)[A_{\mu}, A_{\nu}]$ the curvature of the gauge potential.

- \rightarrow This fundamental quantity is invariant under natural symmetries of the theory.
- Let \mathcal{G} be a finite-dimensional compact Lie group \equiv symmetry group.
- $\psi(x)$ is a multiplet representation of \mathcal{G} .
- Fundamental quantities such as the Lagrangian must be invariant under the action of \mathcal{G} :

$$\mathcal{L}(\psi, D\psi) = \mathcal{L}(g\psi, gD\psi) \qquad \forall g \in \mathcal{G}$$

This is the gauge principle.

Yang-Mills theory

• $g \in SU(n) \rightarrow$ gauge transformations $A \rightarrow \tilde{A} = gAg^{-1} + g\partial g^{-1}$.

•
$$\psi(x) = \begin{pmatrix} \psi_1(x) \\ \vdots \\ \psi_n(x) \end{pmatrix};$$
 $A_{\mu}(x) = A^a_{\mu}(x)T^a;$ $g(x) = e^{i\alpha^a(x)T^a}.$

• The Lagrangian of the SMPP is the following:

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + i\overline{\psi}\mathcal{D}\psi + \overline{\psi}_i\gamma_{ij}\Phi\psi_j + h.c. + |D^{\mu}\Phi|^2 + \lambda\Phi^2 + \mu\Phi^4$$

with $\overline{\psi} = \psi^{\dagger} \gamma_0$, $\not{D} = i \gamma^{\mu} D_{\mu}$, y_{ij} the Yukawa coupling matrix, Φ the Higgs field.

= Yang-Mills Higgs theory

 \rightarrow Full understanding of particles physics and fundamental interactions.

NCG and Gauge Field Theory

Usual framework for Yang-Mills Higgs theory: Vector bundles.

Theorem (Serre-Swan theorem (1962))

Any vector bundle on a smooth compact manifold M defines a $C^{\infty}(M)$ -projective module of finite type on the algebra $C^{\infty}(M)$ by considering the set of smooth sections of this vector bundle.

 \rightarrow Possibility to naturally implement the gauge principle in NCG.

Strategy to create Non-Commutative Gauge Field Theories (NCGFTs):



Build **connection** to implement parallel transport along these DOF Find an **automorphism invariant scalar** built from this connection

How to model a NCGFT?

Strategy to create Noncommutative Gauge Field Theories (NCGFTs):

The basic ingredient is an associative algebra \mathcal{A} . Then:

Representation theory: a (projective finitely generated) module \mathcal{M} over \mathcal{A} . Gauge group: $\mathcal{U}(\mathcal{A})$ or Aut (\mathcal{M}) .

Differential structure: any differential calculus defined on top of A.

- The derivation-based differential calculus canonically associated to A.
- Spectral triple $(\mathcal{A}, \mathcal{H}, D)$: need supplementary structures, and $\mathcal{M} = \mathcal{H}$.

Covariant derivative: A NC connection on $\mathcal M$ based on the differential calculus.

Action functional: An automorphism invariant scalar built from this connection.

- Derivations: Hodge star operator and NC-integration \rightarrow action functional.
- Spectral triples: Spectral and Fermionic actions.

Gauge Theory in the Spectral Triples Framework

• The \mathcal{A} -bimodule of Connes's differential **one-forms** is given by

$$\Omega_D^1(\mathcal{A}) := \left\{ \sum_k a_k \left[D, b_k \right] : a_k, b_k \in \mathcal{A} \right\}.$$

 $\delta : \mathcal{A} \to \Omega^1(\mathcal{A})$ with $\delta(.) = [D, .]$ and $\delta^2 \neq 0$.

- $u \in \mathcal{U}(\mathcal{A})$ defines the unitary $U = \pi(u)J\pi(u)J^{-1} : \mathcal{H} \to \mathcal{H}$.
 - $\omega \in \Omega^1_D(\mathcal{A}) \to \text{Fluctuated Dirac operator:}$

$$D_{\omega} = D + \omega + \epsilon' J \omega J^{-1}.$$

- Inner fluctuation: $UDU^{\dagger} = (D_{\omega})^{u} = UD_{\omega}U^{*}$.
- Equivalence with gauge transformation: $\omega^{u} = u\omega u^{*} + ud_{U}u^{*} \leftrightarrow (D_{\omega})^{u} = D_{\omega^{u}}$.

Spectral and Fermionic Actions

- Lets consider the spectral triple (A, H, D, J, γ).
- The fluctuated Dirac operator $D_{\omega} = D + \omega + \epsilon' J \omega J^{-1}$ with $\omega \in \Omega_D^1(\mathcal{A})$.
- The fermionic action is defined by:

$$\mathcal{S}_f[D_\omega, \widetilde{\psi}] = \frac{1}{2} \langle J \widetilde{\psi}, D_\omega \widetilde{\psi} \rangle_{\widetilde{\mathcal{H}}}.$$

with $\tilde{\psi}$ an element of the Grassmann vector space $\tilde{\mathcal{H}}$ associated with $\mathcal{H} = L^2(\mathcal{M}, \mathcal{S})$. • The **spectral action** is given by:

$$\mathcal{S}[D] = \mathsf{Tr} f(D_{\omega} D_{\omega}^{\dagger} / \Lambda^2)$$

• The spectral action can be expanded using heat kernel expansion:

$$\mathcal{S}[D] = \lim_{\Lambda \to \infty} \operatorname{Tr} \exp(-D^2/\Lambda^2) \simeq \sum_{n \ge 0} \Lambda^{2m-n} a_n(D^2)$$

with a_n the Seeley-de Witt Coefficients.

Spectral Action for the Manifold

• If we take the spectral triple $(\mathcal{A} = \mathcal{C}^{\infty}(\mathcal{M}), \mathcal{H} = L^2(\mathcal{M}, \mathcal{S}), D = \partial, J, \gamma)$, we obtain:

$$D^2 = \Delta^S + \frac{1}{4}s$$
 with the scalar curvature $s = R_{\mu\nu}g^{\mu\nu}$.

• The non-zero contributions are given by the Seeley-de Witt Coefficients:

$$a_{0}(D^{2}) = \frac{1}{(2\pi)^{m}} \int_{M} dvol$$

$$a_{2}(D^{2}) = -\frac{1}{12(2\pi)^{m}} \int_{M} s \, dvol \quad \sim \quad \text{Einstein-Hilbert action}$$

$$a_{4}(D^{2}) = \frac{1}{360(2\pi)^{m}} \int_{M} (\frac{5}{4}s^{2} - 2R_{\mu\nu}R^{\mu\nu} + 2R_{\mu\nu\lambda\rho}R^{\mu\nu\lambda\rho} + 30\Omega^{S}_{\mu\nu}(\Omega^{S})^{\mu\nu}) dvol$$

 \rightarrow Spectral invariant associated with the geometry of the manifold.

The Almost Commutative Manifold

- NC extention of the manifold inside the framework of even and real spectral triples.
- Simple choice \rightarrow **almost commutative manifold** $M \times F$ with F a **finite space**.
- The corresponding spectral triple is $(\widehat{\mathcal{A}}, \mathcal{H}_{\widehat{\mathcal{A}}}, D_{\widehat{\mathcal{A}}}, J_{\widehat{\mathcal{A}}}, \gamma_{\widehat{\mathcal{A}}})$ with:

$$\begin{split} \widehat{\mathcal{A}} &= \mathcal{C}^{\infty}(\mathcal{M}) \otimes \mathcal{A}_{F} & J_{\widehat{\mathcal{A}}} = J_{\mathcal{M}} \otimes J_{\mathcal{A}_{F}} \\ \mathcal{H}_{\widehat{\mathcal{A}}} &= L^{2}(\mathcal{M}, S) \otimes \mathcal{H}_{\mathcal{A}_{F}} & \gamma_{\widehat{\mathcal{A}}} = \gamma_{\mathcal{M}} \otimes \gamma_{\mathcal{A}_{F}} \\ D_{\widehat{\mathcal{A}}} &= D_{\mathcal{M}} \otimes \mathbb{1} + \gamma_{\mathcal{M}} \otimes D_{\mathcal{A}_{F}} & . \end{split}$$

- \rightarrow Computation of the fluctuated Dirac operator: $D_{\widehat{\mathcal{A}},\omega} = D_M \otimes 1 + \gamma^{\mu} \otimes A_{\mu} + \gamma_M \otimes \Phi$.
- \rightarrow Computation of the associated Spectral and Fermionic actions \equiv NCGFT_{\hat{d}}'s Lagrangian.
- \rightarrow Yang–Mills–Higgs type theory coupled to Gravitation.

 \rightarrow Example: $\mathcal{A}_F = \mathcal{M}_n(\mathbb{C})$ so that dim(F) = n is the dimension of the fiber.

The NonCommutative Standard Model (NCSM)

- NCGFT which reproduces the standard model Lagrangian coupled to Gravity (Chamseddine, Connes, Lott, Marcolli...1996 \rightarrow 2012)
 - \rightarrow Based on the model of AC Manifold $M \times F$.
 - \rightarrow Rely on a good choice of an even real Spectral triple.
- Gives the Lagrangian of the SM coupled to gravity from pure geometry.



$$\widehat{\mathcal{M}} = \mathcal{M} \times \mathcal{F} \to \widehat{\mathcal{A}} = \mathcal{C}^{\infty}(\mathcal{M}) \otimes \mathcal{A}_{\mathcal{F}}$$

- $M \rightarrow C \text{ DoFs}$ (along spacetime directions)
- $F \rightarrow NC DoFs$

(along algebraic directions)

 \rightarrow All interactions arise from an underlying NCG.

The NonCommutative Standard Model (NCSM)

- The crucial role is played by $\mathcal{A}_F = \mathcal{A}_{SM} := \mathbb{C} \oplus \mathbb{H} \oplus \mathcal{M}_3(\mathbb{C}).$
- The symmetry group of the spectral action is $\mathcal{G} = Map(M, G) \rtimes \text{Diff}(M)$.
 - \rightarrow Diff(*M*) the diffeomorphism group.
 - \rightarrow *Map*(*M*, *G*) the gauge group of second kind with $G = U(1) \times SU(2) \times SU(3)$.
- In this way, NCG provides a unified framework to **describe both Einstein-Hilbert** gravity (in Euclidean signature) and classical gauge theories.
- It gives an elegant description of the SMPP, including Higgs mechanism and neutrino mixing, as "gravity" on an AC-manifold.
- The fermionic masses are encoded into D_{A_F} , so that the masses of the Higgs boson and the ones of fermions became related, offering a prediction for Higgs mass.

Missing Points and Outlook

- Main advantage of the NCSM: very constrained description of the SMPP
 - \rightarrow Go beyond the SMPP (GUT, ...).
- **The signature problem:** the model is inherently Euclidean (positive definite signature) → *Find a "Lorentzian" approach to NCG.*
- The Higgs mass problem: wrong prediction for the Higgs mass.
 - \rightarrow Attempts to modify the spectral triple axioms to obtain the correct Higgs mass.
- The fermion doubling problem: too many degrees of freedom for fermionic fields

Thank you