

Quantum-mechanical backflow and scattering

Gandalf Lechner



joint work with Henning Bostelmann and Daniela Cadamuro

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History:

- **1969:** First description of the effect [[Allcock](#)]
- **1998:** First quantitative analysis of backflow [[Bracken/Melloy](#)]
- **2003:** Backflow as a quantum inequality [[Eveson/Fewster/Verch](#)]
- **2013:** Suggestion for experimental observation [[Palmero et. al.](#)]

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Setting: A force-free single particle in one dimension.

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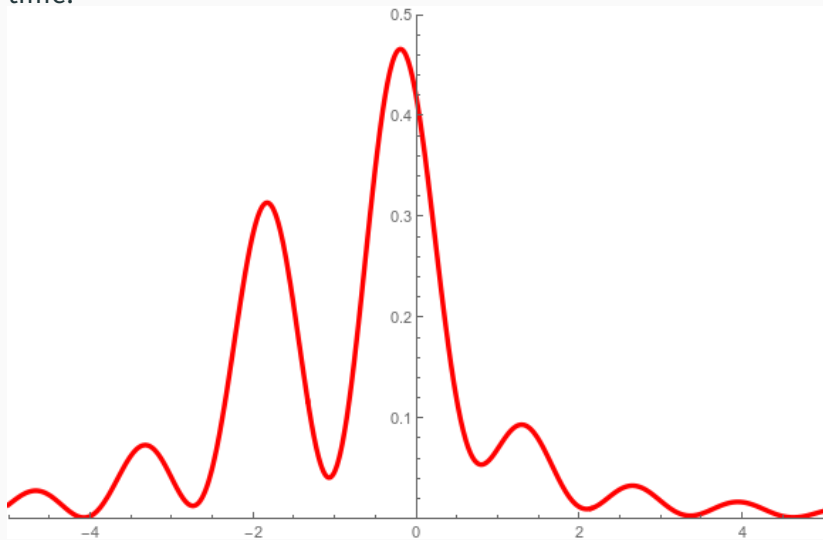
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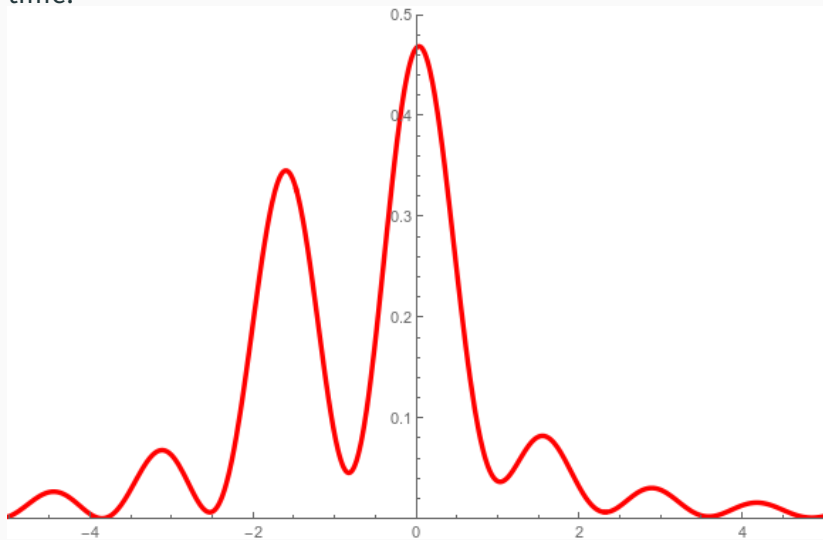
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- In this talk, only **non-relativistic quantum mechanics**

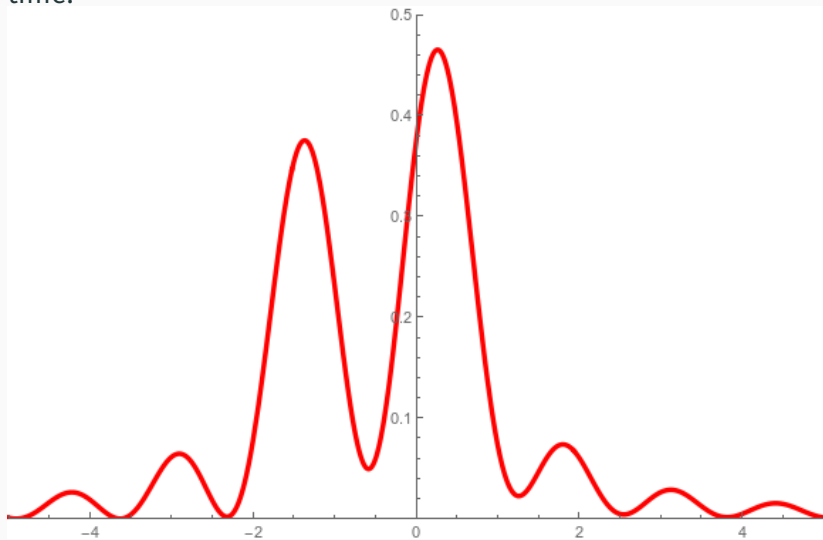
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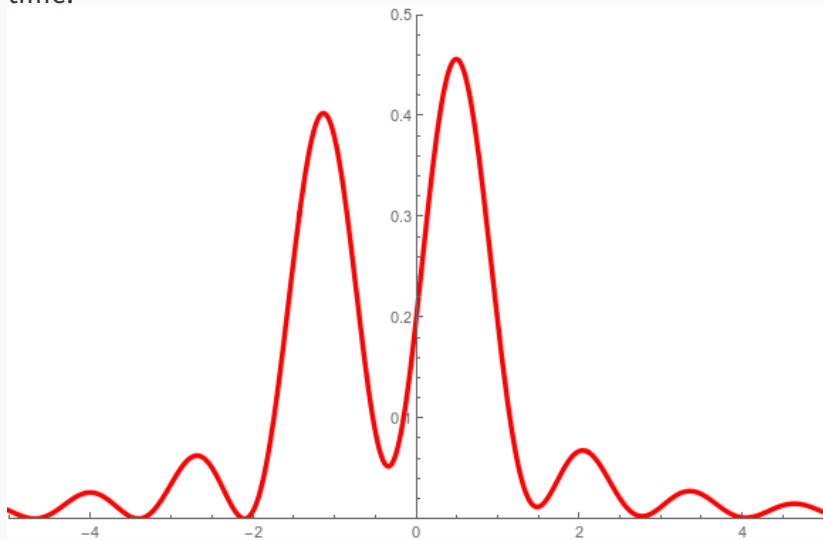
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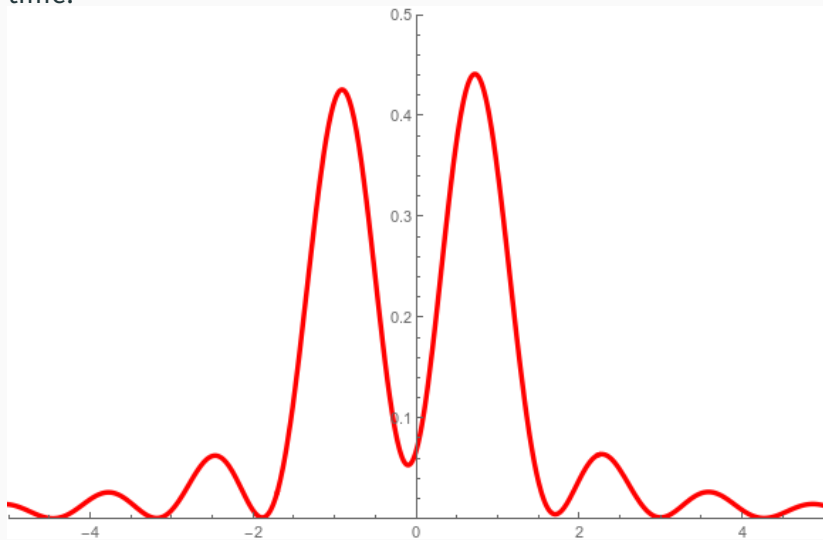
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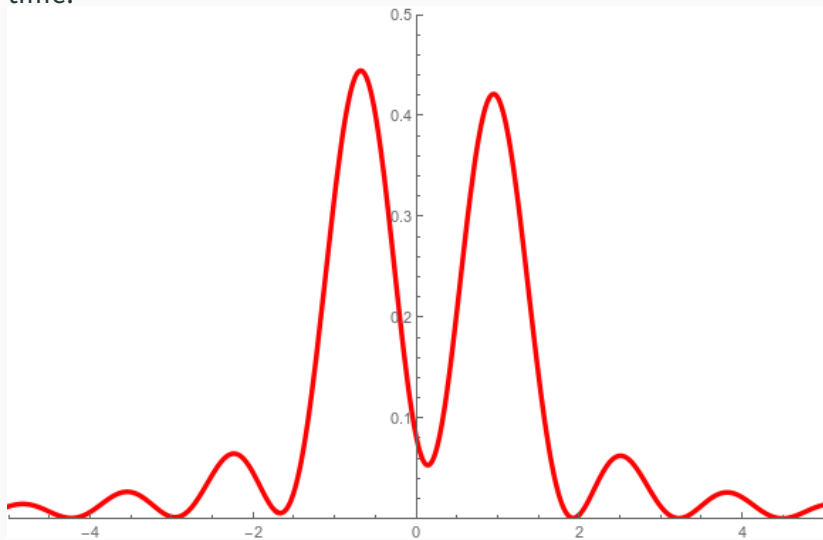
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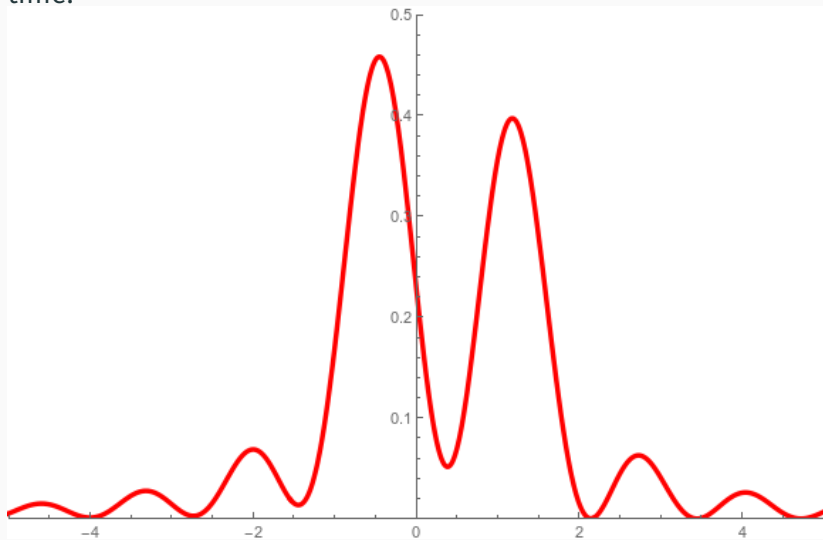
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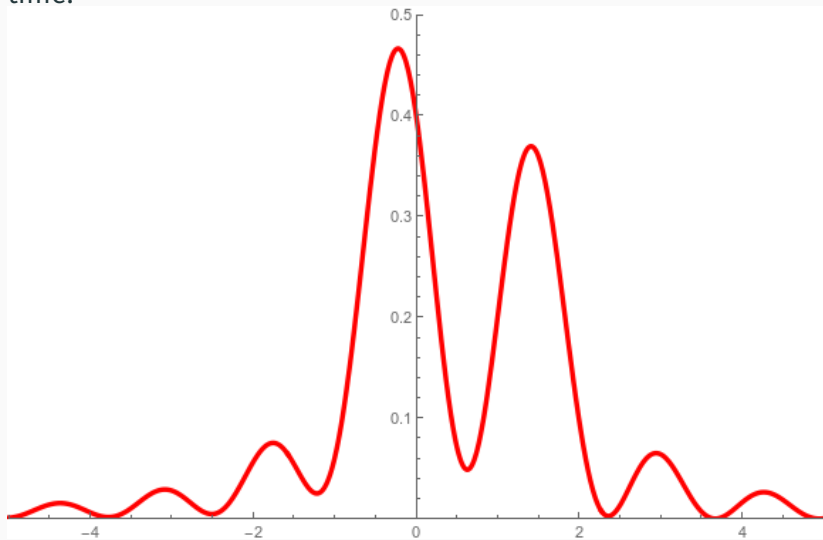
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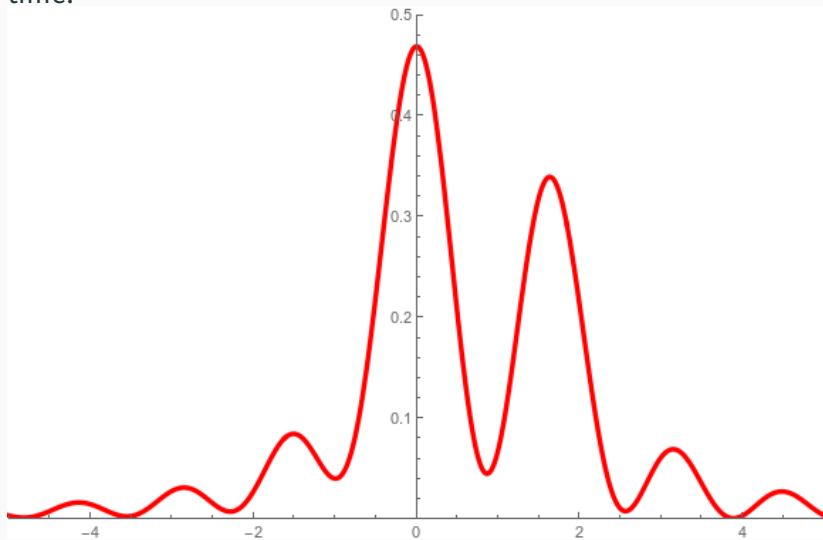
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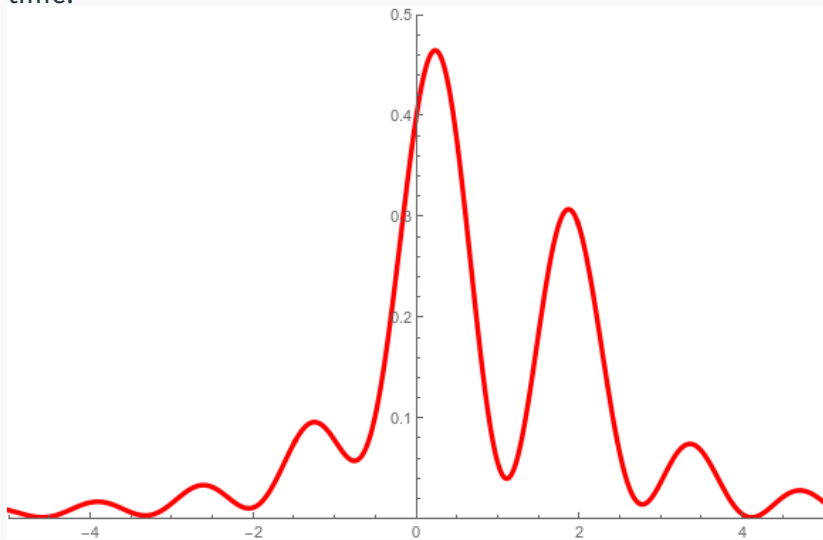
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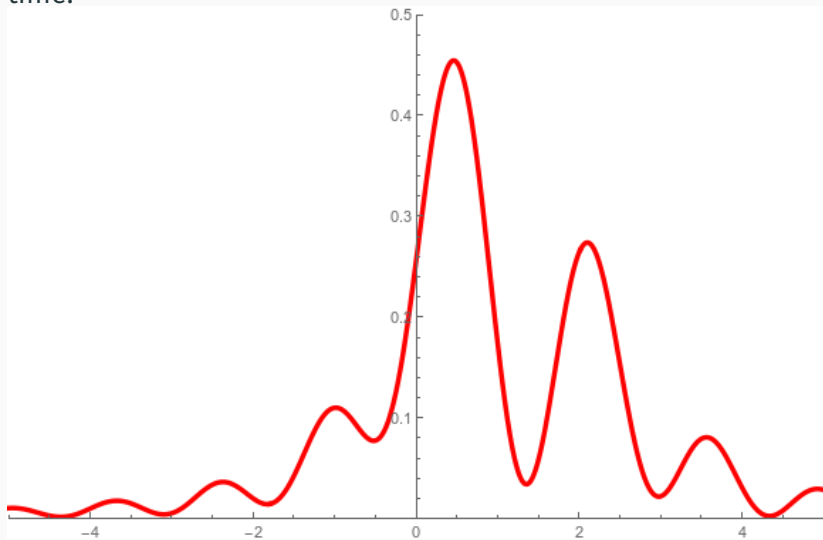
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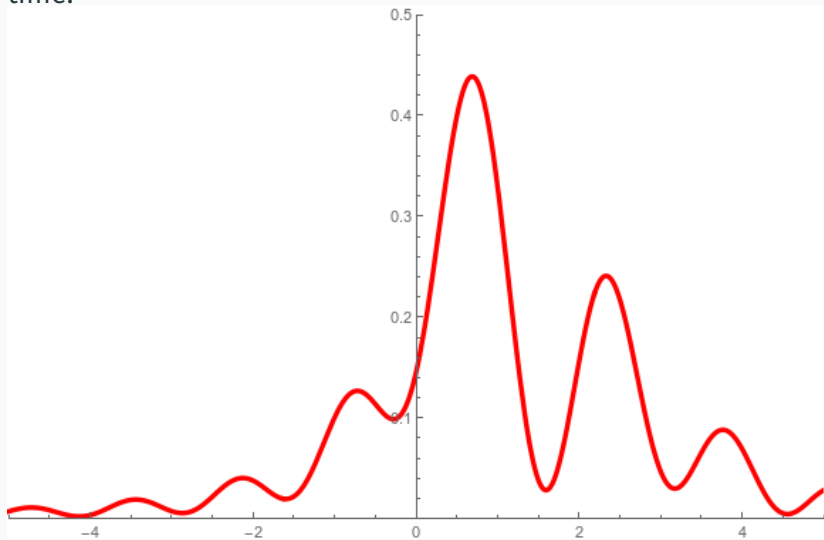
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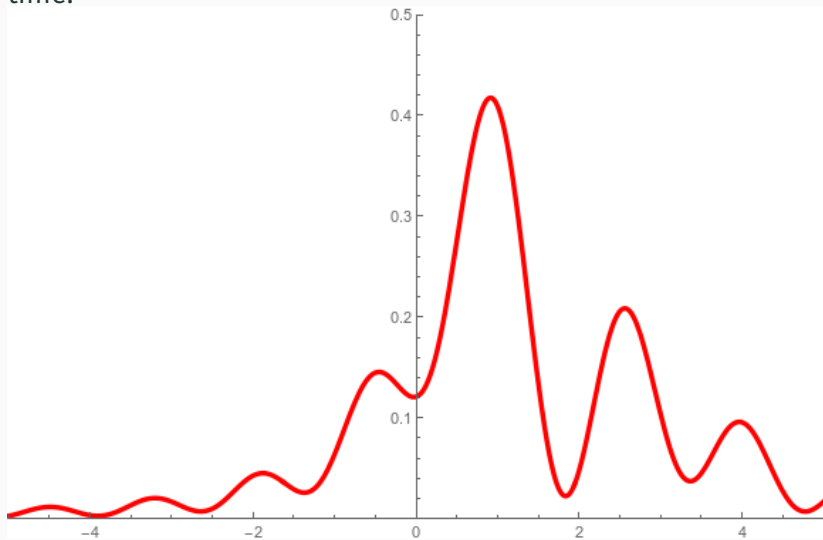
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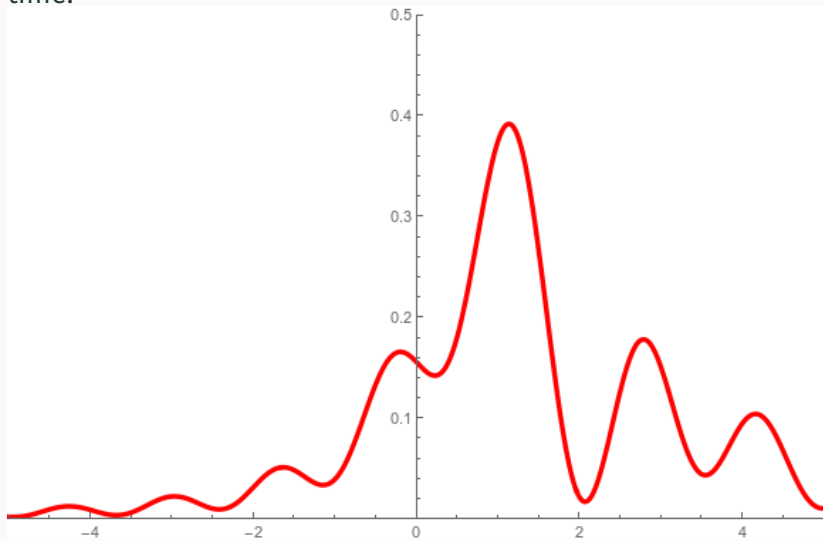
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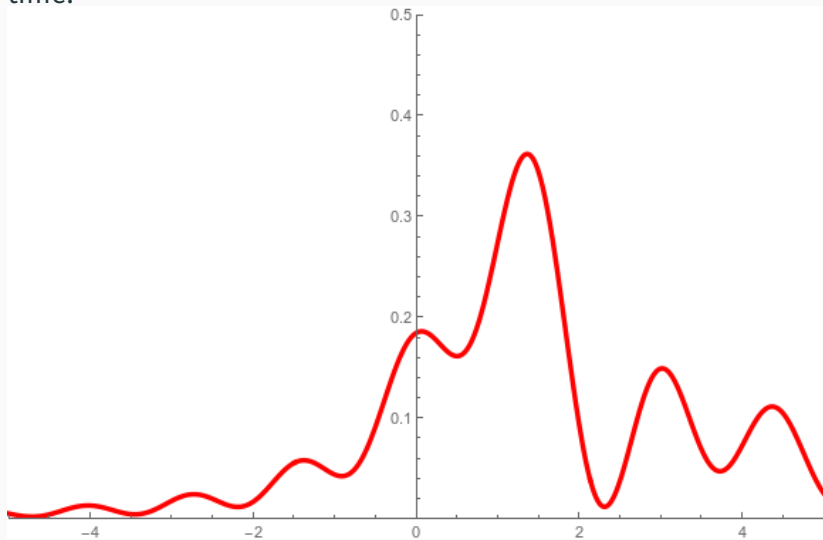
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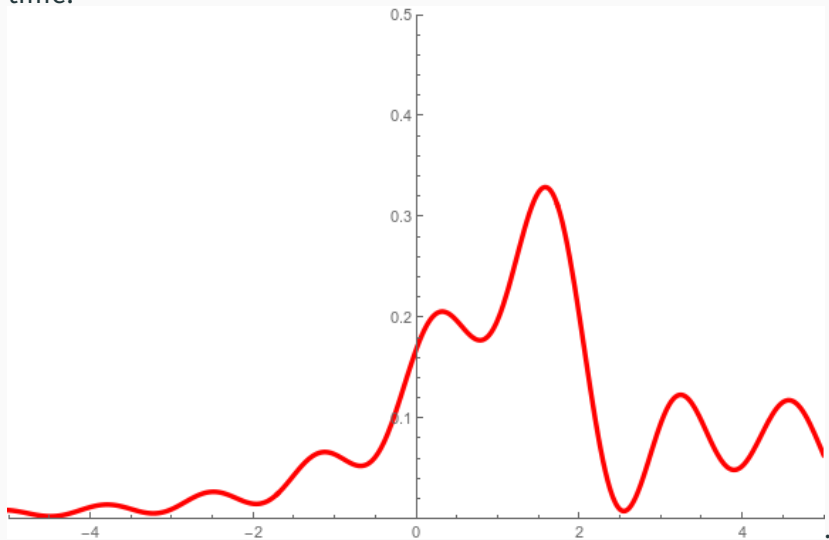
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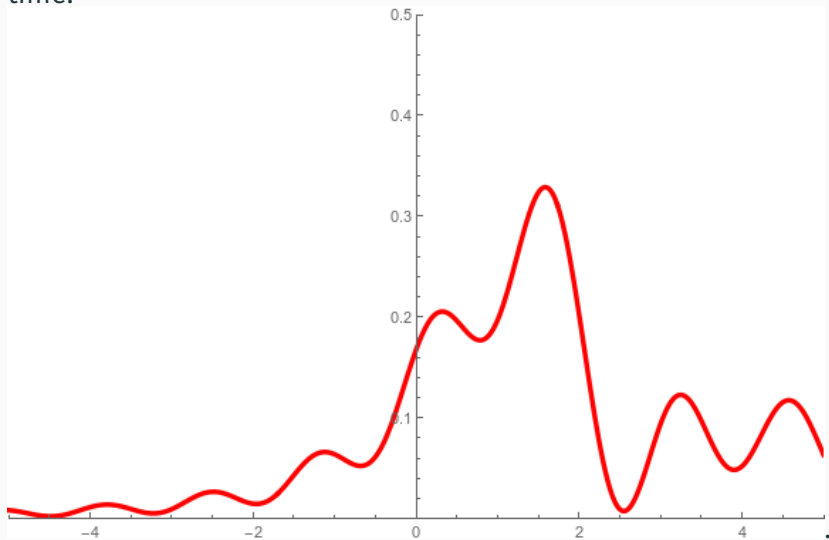
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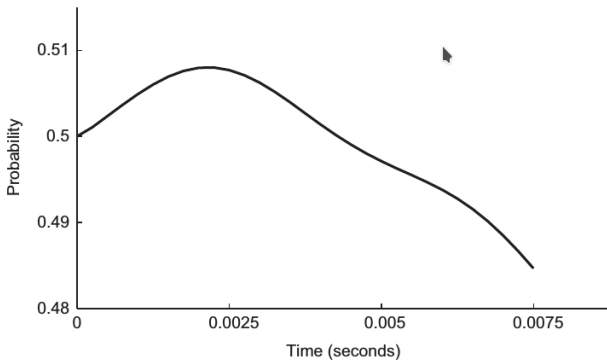


Fig. 10. Probability that the quantum bus is to the left of $x=0$, versus time in seconds.

In “bus picture”, this means that the probability $L(t)$ that the bus has not passed already can **increase** with waiting time t !

Mathematical setup

- Normalized wave function $\psi \in L^2(\mathbb{R})$ has probability density $|\psi(x)|^2$ (for position) and **probability current density**

$$j_\psi(x) = \frac{i}{2} \left(\overline{\psi'(x)}\psi(x) - \overline{\psi(x)}\psi'(x) \right).$$

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Backflow is the fact that $a) \not\Rightarrow b)$, where

- ψ contains only positive momenta, i.e. $\text{supp } \tilde{\psi} \subset \mathbb{R}_+$
- $j_\psi(x) > 0$ for all $x \in \mathbb{R}$

- Current quantum field (quadratic form)

$$\langle \psi, J(\mathbf{x})\psi \rangle := j_\psi(\mathbf{x}) = \frac{i}{2} \left(\overline{\psi'(\mathbf{x})}\psi(\mathbf{x}) - \overline{\psi(\mathbf{x})}\psi'(\mathbf{x}) \right)$$

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- But for test functions f ,

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Lemma

For any $x \in \mathbb{R}$, the quadratic form $E_+ J(x) E_+$ is unbounded above and below.

- Unboundedness above: high momentum effect.
- Unboundedness below: take “backflow states” as superposition of high and low (positive) momentum.

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- Examples of “quantum inequalities” [Fewster]
- Known results are either about interaction-free situation, or of purely kinematical nature.

Backflow and interaction (scattering)

- What can we say about backflow in an **interacting** system?
- For example, take Hamiltonian $H = \frac{1}{2}P^2 + V(X)$ with potential V .
- For non-constant V , the space $E_+\mathcal{H}$ of right-movers is not preserved by time evolution e^{-itH} .
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- sketches. What are the effects of reflection and transmission?
- Reflection = “classical backflow” (amplifies backflow). Is this still a “small effect”?
- Interesting special case: Reflection-less potentials as non-relativistic analogues of integrable QFTs. Do they have backflow?

Potential scattering in quantum mechanics

- Time-dependent setting: $H = \frac{1}{2}P^2 + V(X)$ full Hamiltonian, $H_0 = \frac{1}{2}P^2$ free Hamiltonian. **Møller operator**:

$$\Omega_V = s\text{-}\lim_{t \rightarrow -\infty} e^{itH} e^{-itH_0},$$

- exists under suitable regularity and short range assumptions on V
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Theorem

Let $V \in L^1(\mathbb{R})$ satisfy $\int_{\mathbb{R}} dx (1 + |x|)|V(x)| < \infty$ (“short range”). Then

a) Ω_V exists.

b) $-\frac{1}{2}\partial_x^2 \varphi_k(x) + V(x)\varphi_k(x) = k^2 \varphi_k(x)$, $k > 0$, has unique solution

$$\varphi_k(x) = \begin{cases} e^{ikx} + R_V(k)e^{-ikx} + o(1) & x \ll 0 \\ T_V(k)e^{ikx} + o(1) & x \gg 0 \end{cases}$$

c) For $\tilde{\psi} \in C_0^\infty(\mathbb{R}_+)$, have $(\Omega\psi)(x) = \frac{1}{\sqrt{2\pi}} \int_0^\infty dk \varphi_k(x) \tilde{\psi}(k)$.

Backflow and scattering

The backflow in a scattering situation is measured by the operator,
 $f \geq 0$,

$$\langle \psi, E_+ \Omega_V^* J(f) \Omega_V E_+ \psi \rangle = \int dx f(x) j_{\Omega_V E_+ \psi}(x).$$

and the quadratic form $E_+ \Omega_V^* J(x) \Omega_V E_+$.

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Given arbitrary $V \in L^1(\mathbb{R})$ and arbitrary $x \in \mathbb{R}$, the quadratic form $E_+ \Omega_V^* J(x) \Omega_V E_+$ is unbounded above and below.

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Idea of proof: Comparison with free case (“perturbation theory”). Relies on known estimates on the difference between $\varphi_k(x)$ and its asymptotics (e.g. [Deift/Trubowitz 79]).

Backflow and scattering

To show that the averaged current $E_+ \Omega^* J(f) \Omega E_+$ is bounded below, expand

$$\begin{aligned} E_+ \Omega^* J(f) \Omega E_+ &= E_+ \Omega^* E_+ J(f) E_+ \Omega E_+ \\ &\quad + E_+ \Omega^* E_+ J(f) (i + P)^{-1} E_- (i + P) (\Omega - 1) E_+ \\ &\quad + E_+ (\Omega^* - 1) (i + P) E_- (i + P)^{-1} J(f) \Omega E_+. \end{aligned}$$

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- We have $\|J(f)(i + P)^{-1}\| < \infty$
- Relevant term to estimate is $P(\Omega - 1)$.

Mathematical question (work in progress)

Let H_0, H be selfadjoint on a Hilbert space \mathcal{H} , such that Møller operator Ω exists. For which (unbounded) functions g (e.g. $g(\lambda) = \lambda^\alpha, g(\lambda) = e^\lambda \dots$) do we have

$$\|g(H_0)(\Omega - 1)\| < \infty ?$$

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Examples:

- $\mathcal{H} = L^2(\mathbb{R}), H_0 = P, H = P + V(X)$ with $V \in C_0^\infty(\mathbb{R})$. Then $(1 + H_0^2)^\varepsilon (\Omega - 1)$ is **unbounded** for every $\varepsilon > 0$.

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- $\mathcal{H} = L^2(\mathbb{R}^n), H_0 = -\Delta, H = H_0 +$ **integral operator** with kernel $K \in \mathcal{S}(\mathbb{R}^n \times \mathbb{R}^n)$ and $\text{supp } \tilde{K}$ **compact**. Then $\|g(H_0)(\Omega - 1)\| < \infty$ for all functions g . (similar to [GL/Verch 15])

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In general – between these two extremes – a lot of relevant information seems to be encoded in the boundary behavior of the resolvents of the Hamiltonians (LAP).

Backflow and scattering

In concrete 1d QM case (i.e. $\mathcal{H} = L^2(\mathbb{R})$, $H_0 = \frac{1}{2}P^2$, $H = H_0 + V(X)$), use integral form of Schrödinger eqn (Lippmann-Schwinger),

$$\varphi_k(x) = T_V(k)e^{ikx} + \int dy V(y) G_k(x-y) \varphi_k(y)$$

and estimate

$$(P(\Omega - T_V)E_+\psi)(x) = \frac{1}{\sqrt{2\pi i}} \frac{d}{dx} \int_0^\infty dk \int_{\mathbb{R}} dy V(y) G_k(x-y) \varphi_k(y) \tilde{\psi}(k)$$

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- Reflection processes do not destroy boundedness of backflow.
- Heuristic explanation: Unboundedness below could only occur at high momentum, but for high momentum, reflection processes are suppressed sufficiently well.

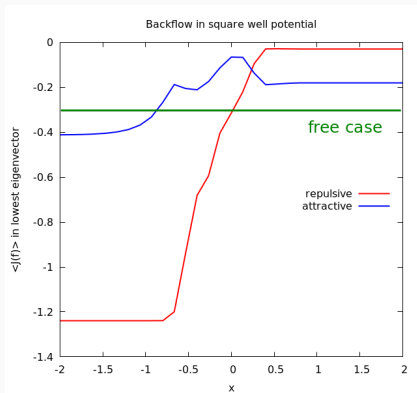
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- Textbook example $V(x)$ = square well potential.

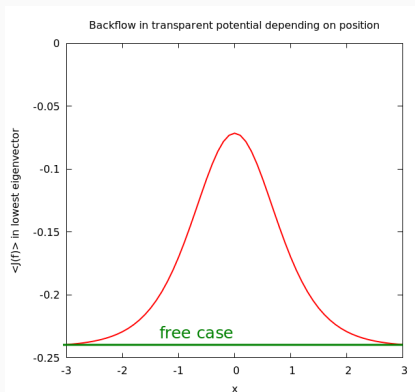


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Examples and Numerics

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- Transparent Pöschl-Teller potential $V(x) = -\frac{\ell(\ell+1)}{2 \cosh^2 x}$, $\ell \in \mathbb{N}$.

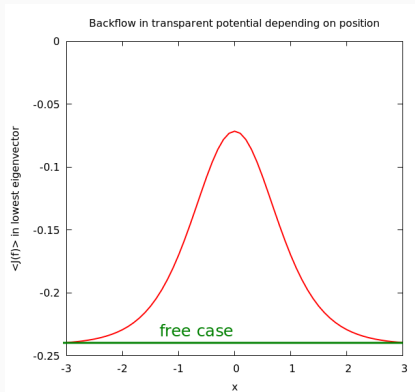


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- Generalizations: Higher dimensions, multi-particle systems, other PDEs, quantum field theory, ... require general analysis of bounds on Møller operators.
- Transparent potentials have special “backflow profiles” (left and right asymptotics “free”)
- As a QFT analogue, can integrability of a QFT be characterized in terms of a quantum inequality?